UNCLASSIFIED



UNITED STATES SPECIAL OPERATIONS COMMAND

7701 TAMPA POINT BLVD.
MACDILL AIR FORCE BASE, FLORIDA 33621-5323

March 7, 2017

SUBJECT: 4 Nov. 2016 King Faisal Air Base Shooting AR 15-6 Investigation Summary

1. The U.S. Special Operations Command extends its deepest condolences to the families, friends and teammates of the three U.S. Army Special Forces Soldiers killed in the tragic incident that took place 4 Nov. 2016 at King Faisal Air Base, Jordan. The command is fully committed to working with the Federal Bureau of Investigation (FBI) as they complete their criminal investigation.

2. THE INVESTIGATION:

- a. On 4 Nov. 2017, three U.S. Army Special Forces soldiers were shot and killed by a Jordanian Air Force guard while they were attempting to enter the King Faisal Air Base, Jordan, through a known checkpoint.
- b. On 10 Nov. 2016, U.S. Air Force Maj. Gen. J. Marcus Hicks, Chief of Staff, United States Special Operations Command (USSOCOM), appointed an investigating officer from U.S. Army Special Operations Command (USASOC) to determine the facts and circumstances surrounding the 4 Nov. shooting deaths of the three Special Forces Soldiers.
- c. On 16 Feb. 2017, the investigating officer submitted his investigation and findings to Maj. Gen Hicks.
- d. The FBI is the lead agency for the criminal investigation and continues to conduct a separate criminal investigation.

3. THE INVESTIGATION FINDINGS:

The summary below briefly describes the overall investigation findings. A redacted copy of the investigation is attached at Enclosure 1. At the request of the FBI, Department of Defense (DoD) and USSOCOM are withholding specific details of the engagement because of the ongoing criminal investigation. The FBI is the lead for the criminal investigation and is the release authority for information about the Jordanian guard and for specific information on the actual engagement.

a. On 4 Nov. 2016, a Jordanian Air Force guard shot and killed three U.S. Army Special Forces Soldiers at King Faisal Air Base, Jordan, as they were entering the gate in a four-vehicle convoy.

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- b. The Jordanian Air Force guard opened fire on the second vehicle of the convoy with his M-16 rifle, killing SSG Kevin McEnroe and mortally wounding SSG(P) Matthew Lewellen.
- c. Within seconds of coming under fire, SSG James Moriarty and another Soldier exited the third and fourth vehicles in the convoy in order to seek cover as the shooter closed on their position. After unsuccessfully trying to communicate to the shooter that they posed no threat, the Soldiers returned fire. While the other Soldier maneuvered to gain a better position, SSG Moriarty stood and fired his pistol directly at the shooter, who was wearing body armor. After closing in on their position, the shooter shot SSG Moriarty twice mortally wounding him. SSG Moriarty's actions enabled the remaining Soldier to maneuver and engage the shooter and seriously wound him.
- d. SSG McEnroe died at the scene. SSG(P) Lewellen and SSG Moriarty were medically evacuated after receiving initial treatment at the local medical treatment facility but died en route to King Hussein Hospital in Amman. Autopsy results show that no amount of medical care could have saved these three Soldiers due to the nature of their wounds.

4. ADDITIONAL INFORMATION:

- a. All three Soldiers died in honorable service to their country.
- b. In maintaining their position and engaging the shooter, SSG Moriarty and the other Soldier acted with great valor.
- c. All three Soldiers were properly trained, equipped and armed and were acting in compliance with all accepted procedures and practices.
- d. Multiple rotations of Army Special Operations units had been deployed to the base for months without any incidents entering the base.
- e. All team members maintained at least an intermediate language proficiency in Modern Standard Arabic. Prior to deployment, the teams conducted additional language training in Levantine Arabic, specialized weapons training, and advanced medical training.
- f. There is no evidence that substantiates post-incident allegations and speculation that alcohol was involved, the Special Forces Soldiers were not complying with established procedures for entering the gate, or the Americans were the first ones to fire their weapons.

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The FBI is conducting an ongoing criminal investigation and is the release authority for information about the Jordanian guard and specific details of the engagement.

REPORT OF PROCEEDINGS BY INVESTIGATING OFFICER Note. Completed forms may contain personally identifiable information and require handling as set forth in AR 340-21. For use of this form, see AR 15-6; the proponent agency is OTJAG. IF MORE SPACE IS REQUIRED IN FILLING OUT ANY PORTION OF THIS FORM, ATTACH ADDITIONAL SHEETS **SECTION I - APPOINTMENT** Appointed by Major General J. Marcus Hicks, USAF, Chief of Staff (Appointing authority) 20161110 (Attach enclosure 1: Letter of appointment or summary of oral appointment data.) (See para 3-15, AR 15-6.) (Date) SECTION II - TIMELINE Fort Bragg, North Carolina 1. The (investigation) commenced at 0900 (Place) (Time) 20161110 (Date) 2. The (investigating officer) finished gathering/hearing evidence a 1600 on -20170113 and completed (Time) findings and recommendations at 1600 20170216 (Time) (Date) SECTION III - CHECKLIST FOR PROCEEDINGS A. COMPLETE IN ALL CASES YES NO1/ NA2 1. Enclosures (para 3-13, AR 15-6) Are the following enclosed and numbered consecutively with Roman numerals: (Attached in order listed) a. The memorandum of appointment? b. All other written communications to or from the appointing authority? c. Privacy Act Statements (Certificate, if statement provided orally)? d. Explanation by the investigating officer of any unusual delays, difficulties, irregularities, or other problems encountered (e.g., absence X of material witnesses)? e. Any other significant papers (other than evidence) relating to administrative aspects of the investigation? f. An Executive Summary, Index of Exhibits, Chronology of the Investigation and lists of all persons interviewed and evidence gathered. \boxtimes (Complex, serious and/or high profile cases)? Exhibits (para 3-14, AR 15-6) a. Are all items offered (whether or not received) or considered as evidence individually numbered or lettered as exhibits and attached X to this report? b. Is an index of all exhibits offered to or considered by investigating officer attached before the first exhibit? c. Has the testimony/statement of each witness been recorded verbatim or been reduced to written form and attached as an exhibit? d. Are copies, descriptions, or depictions (if substituted for real or documentary evidence) properly authenticated and is the location of the original evidence indicated? e. Are descriptions or diagrams included of locations visited by the investigating officer (Appendix C-3, AR 15-6)? f. Is each written stipulation attached as an exhibit and is each oral stipulation either reduced to writing and made an exhibit or \boxtimes recorded? FOOTNOTES: 1/ Explain all negative answers on an attached sheet. 2/ Use of the N/A column constitutes a positive representation that the circumstances described in the question did not occur in this investigation.

SECTION IV	- FINDINGS (para 3-10, AR 15-6)	
The (investigating officer), having carefully considered the evidence, finds: [Each paragraph should be one conclusion based on the evidence gathered during the investigation. These findings should provide answers to each question posed by the appointing authority in the appointment memorandum. The evidence that supports each finding must be cited.] (See attached Memorandum)		
Sec. 1		
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	. 1 	

SECTION VI - AUTHENTICATION (para 3-15, AR 15-6)

THIS REPORT OF PROCEEDINGS IS COMPLETE AND ACCURATE.

(Investigating Officer)

SECTION VII - ACTION BY APPROVING AUTHORITY (para 2-8, AR 15-6)

The findings and recommendations of the (investigating officer) are:

d)	The rep	ort is (incomplete), (ambiguous), (erroneous) and/or (specify deficiency) with respect to	
c) i	Disappr	oved.	
		action as deemed appropriate.	101 5001
	(6)	Recommendation(s) is not appropriate for action by this command: however, a copy of this investigation is being furnished to	for such
	(5)	The action recommended in recommendation has been accomplished by	
	(4)	The following recommendations are modified as follows:	
	(3)	The following recommendations are added/deleted:	
	(2)	The following findings of fact are modified as follows:	
	(1)	The following findings of fact are added/deleted.	
b) .	Approve	ed with the following modifications:	
`	Approve		

J MARCUS HICKS Major General, U.S. Air Force Chief of Staff



UNITED STATES SPECIAL OPERATIONS COMMAND

7701 TAMPA POINT BOULEVARD MACDILL AIR FORCE BASE, FLORIDA 33621-5323

16 February 2017

MEMORANDUM FOR Chief of Staff, USSOCOM

SUBJECT: (U//FOUO) Findings and Recommendations - 4 November 2016 King Faisal Air Base, Jordan shooting

1. (U//FOUC) On 10 November 2016, I was appointed to conduct an investigation into the facts and circumstances surrounding the 4 November 2016 shooting deaths of three U.S. Army Special Forces Soldiers at the King Faisal Air Base vicinity Al Jafr, Jordan. The Federal Bureau of Investigation served as the lead agency and conducted a separate criminal investigation. A timeline of my investigation is at TAB E.

2. (U) Background:

a. (U//F-000) Members of Special Forces Operational Detachment-Alpha (SFOD-A) 35 (outgoing) deployed from Fort Campbell, Kentucky to The Hashemite Kingdom of Jordan (Jordan) on or about 11 July 2016. (Ex. 2, 11) SFOD-A 35's mission was to provide military training on, and in the vicinity of, the King Faisal Air Force Base, Jordan (the Base). (Ex. 2) SFOD-A 35 (outgoing) had begun to redeploy to Fort Campbell, Kentucky and was conducting a changeover with the advance element of SFOD-A 5 (incoming). (Ex. 2) SFOD-A 35 (outgoing) members (b)(3), 10 U.S.C. 130b, (b)(6)
(b)(3), 10 U.S.C. 130b, (b)(6) were conducting activities in support
of the mission on 4 November 2016. (Ex. 2, 12a, 12b, 12d, 12f)
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b. (U//FOUO) Members of SFOD-A 15 (incoming) deployed from Fort Campbell, Kentucky to Jordan on or about 17 October 2016 to replace SFOD-A 35 (outgoing). (Ex. 2, 11) Their mission was to continue the training. (Ex. 2) SFOD-A 15 (incoming)
members (b)(3), 10 U.S.C. 130b, (b)(6)
(b)(3), 10 U.S.C. 130b, (b)(6) were conducting
activities in support of the mission changeover on 4 November 2016. (Ex. 2)
c. (U//FOUC) All members of SFOD-A 5 and SFOD-A 5 were well prepared, trained, and qualified Special Forces Soldiers. (Ex. 2, 11) Each team member was experienced in operating throughout the U.S. Central Command area of responsibility and was proficient in individual and collective Special Forces (SF) military skills. (Ex. 2, 11) (b)(3), 10 U.S.C. 130b, (b)(6)
(b)(3), 10 U.S.C. 130b, (b)(6)
(8)(8), 10 8.8.8.1308, (8)(8)
h/2) 10 LS C 120h (h/6) 18D) (Fx 11)

All unannotated redactions are made via 5 U.S.C. 552(b)(3), and (b)(6).

SUBJECT: Findings and Recommendations concerning 4 November 2016 King Faisal Air Base, Jordan shooting

- e. (U//FOUO) Groups of trainees were housed on the Base to receive training during scheduled time periods. (Ex. 2) The trainees were clean shaven and wore uniforms to identify them. (Ex. 2) Trainees travelled from the Base to established ranges, via convoy, with members of SFOD-A 35 and later SFOD-A 15. Convoys departed, and arrived, through the Base's only access control point (ACP). (Ex. 2) Previous training teams were provided a separate ACP to enter and exit the Base, but, at the direction of the Base commander, that ACP was closed prior to SFOD-A 35's mission. (Ex. 2)
- f. (U//FOUO) A Jordanian security officer was stationed at the Base ACP to facilitate identification and transit. If the security officer indicated the vehicle(s) could pass, Jordanian gate guards opened the gates and allowed the vehicles to pass, without stopping or checking identification. (Ex. 2, 12)
- g. (U//FOUO) Convoy operations, and local administrative movements, were performed using civilian off-road version (b)(7)(E), (b)(7)(F) truck and Safari variants. (Ex. 2) Team members had access to the highway / urban version to conduct longer vehicle movements to Amman. (Ex. 2) All (b)(7)(E), (b)(7)(F) (b)(7)(E), (b)(7)(F) vehicles had low mileage and were well maintained, and therefore there is very little probability of any sort of backfires. (Ex. 2) There was no history or indication of threats to U.S. personnel, thus the vehicles utilized were not armored. (Ex. 2) U.S. operated vehicles were familiar to the Jordanian guard force and identifiable as friendly. (Ex. 2) As a result of this incident, armored are now available for use if the SFOD-A deems necessary to mitigate any assessed threats.
 - h. (U//FOUO) Convoy, range, MEDEVAC, and personal protection standards and procedures were briefed in a daily fragmentary order, and understood by team members. (Ex. 2, 10) All team members carried (b)(7)(E) pistols for personal protection. (Ex. 2) (b)(7)(E) rifles were carried when escorting and instructing trainees. (Ex. 2) (b)(7)(E) (Ex. 2) The radios were distributed based on ranges and programs of instruction Team members wore body armor when they worked with explosives,

SUBJECT: Findings and Recommendations concerning 4 November 2016 King Faisal Air Base, Jordan shooting

not wear body armor in other circumstances. (Ex. 2) IAW ODA procedures, had body armor in his vehicle for use during the scheduled 4 November rocket and mortar training. (Ex. 2)

3. (U) Sequence of Events:

a. (U//FOUO) On 4 November 2016, members of SFOD-A 35 and SFOD-A 15 conducted routine weapons familiarization training on a Jordanian military range that was approximately 5 kilometers from the perimeter of the Base. SFOD-A 5 (outgoing) participants include (b)(3), 10 U.S.C. 130b, (b)(6) SFOD 5 (incoming) range training participants were (b)(3), 10 U.S.C. 130b, (b)(6) The teams travelled from the Base to the range in the unmarked off road version (b)(7)(E). (7)(F) from the training fleet. They departed through the main gate of the Jordanian Air Force Base, as a single convoy, at approximately 0800 hrs. (Ex. 2, 13)		
b. (U//FOUO)	(b)(7)(A)	
	(b)(7)(A)	
c. (U// FOUO)	(b)(7)(A)	
	(b)(7)(A)	
d. (U//FOUO)	(b)(7)(A)	
	(b)(7)(A)	
e. (U//FOUO	(b)(7)(A)	
	(b)(7)(A)	
f. (U//FOUO) Upon completion of training, the team members returned to the Base in three separate convoys. (b)(7)(E) including (b)(3), 10 U.S.C. 130b, (b)(6) returned, without incident, through the ACP at approximately Incident – 25 minutes¹ (I – 25m) and went directly to the ammunition supply point. (Ex. 2, 12)		

¹ Times stated in the documentary evidence are inconsistent with the time displayed in security camera footage. In order to clearly explain the sequence of events, time reference is based upon video footage of the second convoy's arrival at the main gate. Video footage show arrival at 1204 hrs. That time will be designated as Incident time designated as "I" time. The time of events following the time of arrival will be

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(b)(7)(A)
(b)(7)(A) (b)(7)(E) entered the base without incident.
g. (U//FOUO) (b)(7)(E) returned to the Air Base's main gate twenty-five minutes later at approximately 1204 hrs. (Incident time) (b)(7)(E) was comprised of five U.S. Soldiers travelling in four vehicles. (b)(7)(E) was driven by (b)(7)(E) was driven by and (b)(7)(E) was driven by (Ex. 2, 8, 12c)
h. (U//FOUC) vehicles in (b)(7)(E) followed standard operating procedures for entering the Base. As the convoy arrived, the Jordanian gate guards were in normal posture. (Ex. 2)
(1) (U// FOUO) (b)(7)(A)
(b)(7)(A)
(b)(7)(A) The opening of the lift gate signaled to that it was safe to proceed.
(2) (U//FOUO) The demeanor of the guards who departed the building did not give any indication of fear or trepidation. (Ex. 2, 12) Consequently, conditions at the ACP appeared normal and the convoy proceeded according to the standard operating procedure. (Ex. 2) (b)(7)(A) U.S. personnel were not required to stop at the guard shack, nor to show identification in order to enter. (Ex. 2)
(3) (U//FOUO) (b)(7)(E) proceeded through the ACP and stopped in front of the final slide gate that protected the entrance. (Ex. 2, 12, 13, 14) A second guard slid the gate to the side, allowing (b)(7)(E) o pass. (Ex. 2) (b)(7)(E) then proceeded down the entrance road. (Ex. 2) (b)(7)(E) complied with all Jordanian base entry procedures.
i. (U//FOUO) heard the gunshots after he passed the slide gate. (Ex. 7) Noting the crew served weapon on the QRF vehicle, he drove forward to ge out of the weapon's sector of fire. (Ex. 7) then chose to depart the incident location and drove to the safety of the US section of the base to notify others of the shooting. (Ex. 12d)

designated as **I+** (the number of minutes following the arrival), while events preceding the time of arrival will be designated as **I-** (the number of minutes prior to the second convoy's arrival).

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j. (U//FOUO)	(b)(7)(A)
	(b)(7)(A)
	attempted to follow (b)(7)(E) through the slide Iding, Al-Tawayha fired his rifle through the open side window he front windshield of (b)(7)(E) (Ex. 2, 13, 14) CPL Alfeet of (b)(7)(E) and initiated fire. (b)(7)(A)
	(b)(7)(A)
respond. (Ex. 2)	(b)(7)(A) There was no military g in the area that would have caused CPL Al-Tawayha to wore American styled clothing and distinguishable from trainees or local nationals who used the
gate. (Ex. 2, 8)	(b)(7)(A)
	(b)(7)(A)
windshield of (b)(7)(E) m 13, 14) One of the rounds) Medical evidence suggests that round killed gas pedal and clutch and caused
(Ev. 1) CDL ALTa	(b)(7)(A)
(Ex. 1) CPL AI-Ta and on	wayha walked past the rolling vehicle and began firing on (Ex. 2, 12)
lift gate to allow the vehicle	anian guard had been holding the rope on the counterbalanced to move through. (Ex. 2, 13, 14) He displayed a relaxed
	of fear, or a perceived threat (b)(7)(A) (2, 12)
no knowledge of CPL Al-Ta	(7)(A) His actions indicate that he had wayha's intent and was not complicit in the hostile act. His CPL Al-Tawayha's engagement shots of and
(Ex. 12c, 13, 14)	quickly exited (b)(7)(E) and d to cover behind cement barriers by their driver's side doors. had a Motorola handheld radio and body armor with him in ave the time to retrieve either when he exited. (Ex. 2)

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did not have a radio or body armor in	(b)(7)(E) (Ex. 2) Neither
had rifles in (b)(7)(E)	(Ex. 2) Both men were being fired upon
while they exited their vehicles. (Ex. 2, 12)	

- o. (U//FOUO) Upon reaching the nearby barriers, both men returned fire. (Ex. 2,8) Only seeing a Jordanian guard in front of them, the men waved their arms in the air to gesture they were friendly. (Ex. 2) Simultaneously, called to the guards in called in English. (Ex. 2) Their efforts to identify themselves, and Arabic while de-escalate the situation, however, only served to draw additional rifle fire from CPL Al-Tawayha. (Ex. 2) CPL Al-Tawayha's rifle fire, and (b)(3), 10 U.S.C. 130b, (b)(6) fire, caused Jordanian forces who responded to the scene to fire random warning shots. (Ex. 2) Hearing the additional fire, and realizing CPL Al-Tawayha's intent, attempted to signal the occupants of a nearby security vehicle that had stopped short of the ACP because of the shooting. (Ex. 2, 8, 12c) The occupants of the security vehicle did not respond. Not receiving assistance, chose to bound across the ACP, to the cement barriers on the right and rear side of (b)(7)(E) This position gave them a better vantage point and created additional distance between themselves and CPL Al-Tawayha, while not abandoning their teammates in (b)(7)(E) (Ex. 2, 12)
- p. (U//FOUO) The exchange of gunfire created an atmosphere of chaos and confusion. Jordanian Air Force and Security Guards reacted by instructing others, including a separate vehicle with US Special Forces members to stay away from the area around the ACP for their own safety. (Ex. 2)
- q. (U//FOUO) After crossed to the opposite side of the road, CPL Al-Tawayha walked from his position in the guard building to the open driver's side door of (b)(7)(E) (Ex. 8, 13, 14) He then looked inside the vehicle, in an apparent effort to check for occupants. Not finding occupants, CPL Al-Tawayha pointed his rifle in new position and moved to the front of the direction of (b)(7)(E) CPL Al-Tawayha remained in front of (b)(7)(E) for a short period of time before moving to the driver's side door of (b)(7)(E) to check it for occupants. Not finding occupants in (b)(7)(E) CPL Al-Tawayha walked to the rear of (b)(7)(E) while he kept his rifle trained on who maintained their position behind the cement barrier on the other side of the ACP. CPL Al-Tawayha began firing as he raced in their suddenly exposed positions. towards exchanged fire with CPL Al-Tawayha while he ran towards them. CPL Alfirst. In what was then a scramble, Tawayha reached used his position at the corner of a cement barrier to maneuver to the opposite side from CPL Al-Tawayha. While maneuvered. stood and fired directly at CPL Al-Tawayha. (Ex. 2, 12) Standing within a few feet of one another, CPL Al-Tawayha, wearing body armor, was able to hit with two rounds, mortally wounding him. (Ex. 6)
 - r. (U//FOUO) standing and firing directly at CPL Al-Tawayha enabled to rush around the opposite side of the cement barrier and to stand and shoot

SUBJECT: Findings and Recommendations concerning 4 November 2016 King Faisal Air Base, Jordan shooting CPL Al-Tawayha with his (b)(7)(E) pistol. (Ex. 8) closed the distance on CPL Al-Tawayha, continuously firing until he fell to the ground and was no longer a threat. (Ex. then kicked CPL Al-Tawayha's M16 away to ensure he could not reach 2, 12) it. (Ex. 12c) After disarming CPL Al-Tawayha, moved across the road to the rear and outside the ACP. At that point, disarmed the Jordanian guard who had moved to that spot from the counterbalance gate when the shooting initiated. (Ex. 12c). The actions of demonstrated valorous conduct and extraordinary heroism in fighting and remaining with teammates while engaging a force that was better equipped and armed. s. (U//FOUO) proceeded towards the nearby security guard vehicle that had been trying to draw forward. (Ex. 2, 12c) he and and had diverted from the convoy to conduct a separate training range changeover orientation and therefore trailed (b)(7)(E) by 5 minutes and having been stopped short of the security vehicle's position, recognized and pulled forward so he could use their vehicle for cover. (Ex. 2, 12c, 12d, 12e) The three Americans then established radio communications with the U.S. and Jordanian forces inside the Base and waited until it was safe to proceed forward through the gate. t. (U//FOUO) (b)(7)(A)(b)(7)(A)Αt (b)(7)(A)that point body fell from the cab of the vehicle. body did not receive any additional wounds after falling from the vehicle. (Ex. 12a, 12b, 12g, 12f) u. (U//FOUO) Jordanian security guards recovered and brought him to where waited inside the gate. (Ex. 2, 12f) and o the Aid Station, via ground ambulance. (Ex. 2) moved v. (U//FOUO) drove to the Jordanian Aid Station to determine if any Americans were being treated there. Upon learning that entered the Aid Station and saw him lying on a was inside. stretcher next to a wounded Jordanian. (Ex. 12a, 12g) There were no reports of other

while

arrived at the U.S. Aid Station at I + 40m. (Ex. 4)

went to the

to U.S.

wounded Jordanians; therefore, it is likely that the wounded Jordanian was CPL Al-

remained with

U.S. Aid Station and returned with medical personnel to transfer

care. (Ex. 12f, 12g)

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w. (U//FOUO) ustained two gunshot wounds; (b)(6) (Ex. 4, 5) He was treated at the U.S. Aid Station by a
(b)(3), 10 U.S.C. 130b, (b)(6) (Ex. 12d)
x. (U//FOUO) sustained (b)(3), 10 U.S.C. 130b, (b)(6) (Ex. 4, 5) He was also treated at the U.S. Aid Station by a
(b)(3), 10 U.S.C. 130b, (b)(6) Ex. 12d)
y. (U//FOUG) At approximately I + 80m , a fixed wing aircraft arrived to evacuate to Marka Airfield in Amman. (Ex. 12g) was pronounced dead at I + 95m , before the aircraft arrived at Marka Airfield. (Ex. 4)
z. (U//FOUO) At, I + 120m, was transferred, via rotary wing aircraft, from Marka Airfield to King Hussein Hospital in Amman, Jordan. (Ex. 4) was pronounced dead at King Hussein Hospital. (Ex. 5)
aa. (U//FOUO) On 5 November 2016, (b)(3), 10 U.S.C. 130b, (b)(6) bodies were transported from King Hussein Hospital to Marka Airfield, then to Muwaffaq As-Salti Airbase for movement to Dover Air Force Base. (Ex. 4)
4. (U//FOUO) Specified questions in the appointment memorandum:
a. (U//FOUO) Identify all of the U.S. Soldiers involved or present at the time of the incident, and any foreign troops or other personnel present.
(1) (U//FOUO) (b)(3), 10 U.S.C. 130b, (b)(6) returned from range training in (b)(7)(A) and were inside the Base at the time of the shooting.
(2) (U//FOUO) (b)(3), 10 U.S.C. 130b, (b)(6) returned from range training in (b)(7)(A) was allowed to pass through the ACP and continue through the Base. All others were attacked by CPL Al-Tawayha at the Base's ACP.
(3) (U//FOUC) (b)(3), 10 U.S.C. 130b, (b)(6) were stopped short of the main gate, by security personnel, when returning from training range orientation. The security personnel had stopped as a safety precaution because they were unsure of the threat and overall situation.
(4) (U// FOUO) (b)(7)(A)
(b)(7)(A)

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(5) (U// FOUO) (b)(7)(A)	
(b)(7)(A)	
(6) (U//FOUO) (b)(7)(A)	
b. (U//FOUO) What was the duty status of the U.S. Soldiers, and what was their task and purpose at the time of the shooting?	
(1) (U//FOUC) On 4 November 2016, members of SFOD-A 15 (incoming) and members of SFOD- 5 (outgoing) were deployed to Jordan to perform a military training mission.	
(2) (U//FOUO)-On 4 November 2016, (KIA) was deployed to Jordan, subject to official military orders. He was on active duty with the U.S Army as a Special Forces Engineer Sergeant (18C) and was in the line of duty at the time of his death.	
(3) (U//FOUO) On 4 November 2016, (KIA) was deployed to Jordan, subject to official military orders. He was on active duty with the U.S. Army as a Special Forces Weapons Sergeant (18B) and was in the line of duty at the time of his death.	
(4) (U//FOUO) On 4 November 2016, (KIA) was deployed to Jordan, subject to official military orders. He was on active duty with the U.S. Army as a Special Forces Communications Sergeant (18E) and was in the line of duty at the time of his death.	:
c. (U//FOUC) Identify where each of the Soldiers was located in the convoy, and outline their movements during the incident. was the driver of (b)(7)(A) in (b)(7)(A) was the driver, and the passenger, in (b)(7)(A) was the driver of (b)(7)(A) was the driver of (b)(7)(A) the last vehicle in (b)(7)(A) (See diagram, Ex. 7) Their specific actions were addressed in the sequence of events section above.	
d. (U//F OUO) What were the requirements for personal protective equipment (PPE), and were there any issues of compliance? Team members were not required, and did not assess an existing threat that required, wear of personal protective equipmen during convoy operations.	

(1) (U//FOUO) PPE was only worn when team members worked with certain_

explosives, rockets, mortars, and would blow in place (BIP) unexploded ordnance.

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had body armor with him for those purposes, but could not retrieve it due to his quick exit.

- (2) (U//FOUO) In light of the absence of trainees, the lack of known hostile threats, and the lack of indicators of hostile activity in the area, the SFOD-A decision to forgo wearing body armor during convoy operations was rational and reasonable.
- e. (U//FOUO) What were the convoy procedures applicable at the time of the incident, and determine whether there were any issues of compliance with those procedures? There were no formal convoy procedures at the time of the incident. Team members discussed and briefed convoy and range operations the night prior to every range and training event in a team FRAGO. Once engaged, SFOD-A members reacted in accordance with Special Operations Forces standard practice and attempted to neutralize the threat while remaining with teammates in the first and second vehicles.
- f. (U//FOUO) What was guidance for access control point entry, and were there any issues of compliance by U.S. Soldiers? Team members had access to the Base through a single Access Control Point (ACP). A security officer was positioned at the ACP to facilitate access, but the guard force typically recognized the Team members and opened the gates for them. There were no issues with compliance by U.S. Soldiers prior to, or on the day of, the attack.
- g. (U//FOUO) What were rules as to arming of U.S. Soldiers at this time, and were there any issues?

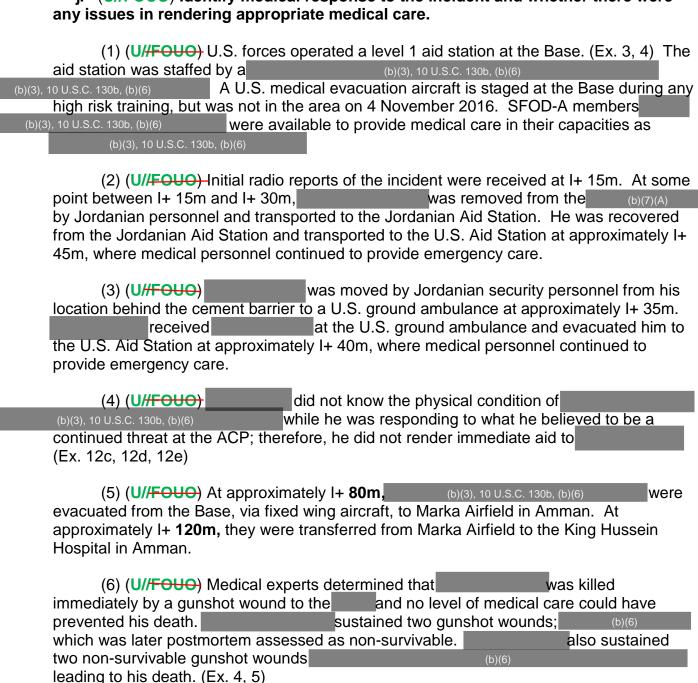
(1) (U //FOUO) Team members carried (b)(7)(A) handguns for pers	sonal protection
and (b)(7)(A) when escorting, training or working with trainees	
did not carry (b)(7)(A) during convoy, or range operations, unl	ess trainees were
present.	
(2) (U//FOUO) Access to an (b)(7)(A) would likely have	e provided
an increased pro	bability of survival
in engaging CPL Al-Tawayha, who was wearing body armor and equippe	d with an M16.
The possession of a (b)(7)(A) enabled to engage CPL Al-Ta	wayha, but a
weapon of greater firing rate, precision, and effective range, would have it	ncreased the
probability of survival and may have dissuaded CPL Al-Tawayha from ac	tive pursuit.

h. (U/FOUO) How long had these soldiers been on this mission, and did duration of their deployment have any impact on their readiness, information, training, or in any other regard? Although members of SFOD-A 35 (outgoing) had been deployed to Jordan for approximately 117 days and some members of SFOD-A 15 (incoming) had only been in Jordan for one day, the length of deployment did not have any impact on their readiness, information, training, or in any other regard. Each team member responded to the incident appropriately and to the best of their ability. There are no signs of complacency or a lack of knowledge of base entry standards or procedures.

UNCLASSIFIED//FOUO

SUBJECT: Findings and Recommendations concerning 4 November 2016 King Faisal Air Base, Jordan shooting

- i. (U//FOUO) Describe the actions of the Soldiers during the incident. If there is any videotape depicting the incident, identify personnel on the video. A description of the event is provided above. A videotape of the incident is included as Ex. 8.
- j. (U//FOUO) Identify medical response to the incident and whether there were



SUBJECT: Findings and Recommendations concerning 4 November 2016 King Faisal Air Base, Jordan shooting

- (7) (U//FOUC) The absence of a rotary wing aircraft at the Base resulted in reliance on fixed wing MEDEVAC that took approximately an hour and thirty minutes from time of injury to arrival at the King Hussein airport. Autopsy results show that no amount of medical care could have saved (b)(3), 10 U.S.C. 130b, (b)(6) k. (U//FOUO) Determine the duty status of any soldiers killed during this incident IAW AR 600-8-4, and any other information relevant to line of duty determination. (b)(3), 10 U.S.C. 130b, (b)(6) On 4 November 2016 were deployed to Jordan, subject to official military orders. They were on active duty with the U.S. Army and were in the line of duty at the time of their deaths. I. (U//FOUO) Identify any military issued equipment that was not recovered and, to the extent possible, determine the cause of the loss. No U.S. military issued equipment was lost or damaged during, or as a result of, the incident. (Ex. 2) m. (U//FOUO) Identify any training or pre-deployment preparation for this mission, and assess its sufficiency for the deploying Soldiers and units. Members of SFOD-A 15 and SFOD-A 35 were well-led and disciplined. They were sufficiently trained and prepared for their mission. They adequately assessed force protection requirements and prepared in accordance with known threats. (1) (U//FOUC) Additional, or other, training would not have prevented the deaths of Neither Soldier could have recognized CPL Ma'arik Al-Tawayha's intent. The extremely close proximity of the shooter to their vehicle did not give them sufficient time to respond. (2) (U//FOUO) were appropriately and adequately trained to respond to the hostile situation they faced. Both Soldiers secured a protected position behind a cement barrier and attempted to de-escalate the situation by communicating in Arabic and English. Both Soldiers attempted to maneuver to gain a better position without abandoning their teammates. n. (U//FOUO) What were the emergency procedures in place, and was there any pre-mission planning for such potentialities? Emergency medical procedures were planned for and in place. (Ex. 2, 3) Team members responded appropriately and effectively. Team Pre-Mission Training (PMT) appropriately included scenarios, vehicle movement, marksmanship and language training to address unexpected threats. 5. (U//FOUO) The following findings are in addition to those specifically requested:
- a. (U//FOUO) SFOD-A 35 (outgoing) and SFOD-A 5 (incoming) understood the inherent risk of operating with partner forces in a deployed environment and conducted themselves appropriately and professionally. Their expectation that partner nation armed security forces would not fire upon U.S. Forces' vehicles was informed and reasonable,

SUBJECT: Findings and Recommendations concerning 4 November 2016 King Faisal Air Base, Jordan shooting

considering the countless incident-free exits an	a enthes to the i	Base utilizing this same
access control point. CPL Al-Tawayha's close	proximity to the	second vehicle in the
convoy left (b)(3), 10 U.S.C. 130b, (b)(6)	with no time to	react to the shooting. (Ex.
2, 12, 13, 14) There are no reasonable recomm	nendations that	would have increased the
probability of survival of (b)(3), 10 U.S.C. 130	o, (b)(6)	Al-Tawayha's initial
engagement of the second vehicle, and the inci-	eased distance	from the third and fourth
vehicles, provided		react. (Ex. 2, 12, 13, 14)
		,
b. (U/ /FOUO)	(b)(7)(A)	
(b)(7)(A)		There is no
evidence that U.S. Forces failed to fully comply	with Jordanian	Base entrance procedures.
evidence that U.S. Forces failed to fully comply	with Jordanian	Base entrance procedures.
		Base entrance procedures.
evidence that U.S. Forces failed to fully comply (b)(7		Base entrance procedures.
		Base entrance procedures.

6. (U) Recommendations/Sustains:

pointing to radicalization by an organization or individual.

a. (U//FOUO) In light of (b)(3), 10 U.S.C. 130b, (b)(6) ability to react due to the proximity of the initial shooter engagement, although limited, coupled with the above findings, there are a few lessons learned and recommendations that may have increased their probability of survival in their engagement of the shooter, CPL Ma'arik Al-Tawayha:

that CPL Al-Tawayha acted alone. At this time, the USG does not have any evidence

- (1) (U//FOUO) Carry at least one rifle in each vehicle during range convoy operations.
- (2) (U//FOUO) Re-open the second gate to the Jordanian Air Base to allow trainers an alternate place to enter and exit; this gate manned by at least one U.S. representative whenever U.S. personnel enter or exit in order to mitigate incidents and host nation threats while reducing the exposure to any operational sensitivities of this program.
- (3) (U//FOUO) A rotary wing MEDEVAC aircraft, and crew, be readily available for stationing at King Faisal Air Force Base.
- (4) (U//FOUO) Each SFOD-A member be issued a personal Motorola handheld radio to be carried at all times in training and garrison to support administrative operations and security efforts.
- (5) (U//FOUO) Team members continue to assess the security situation and use the recently available armored vehicles when warranted.

SUBJECT: Findings and Recommendations concerning 4 November 2016 King Faisal Air Base, Jordan shooting

- (6) (U//FOUO) A security officer travel in every convoy to mitigate Jordanian Security misunderstandings and dissuade any deliberate or accidental engagement of U.S. Forces convoys.
 - b. (U//FOUO) I recommend the following practices be sustained:
- (1) (U//FOUO) Future SFOD-As deploying to the same mission continue to conduct the same level of intensive, and specialized, pre-deployment language, weapons, medical, and individual skills PMT training SFOD-A 5 conducted.
- (2) (U//FOUO) SFOD-As continue to issue the same attention to detail-based SFOD-A 35 / SFOD-A 15 nightly FRAGOs to prepare team members for the next day's missions.
- (3) (U//FOUO) Continue to maximize consolidated convoy movement procedures to the extent operationally possible.
- (4) (U//FOUO) Continue to maintain an (b)(7)(A) fleet of vehicles for SFOD-A use if threat assessment warrants.
- (5) (U//FOUO) Continue to conduct the same deliberate and extensive relief in place / transfer of authority and team changeovers SFOD-A 5 and SFOD-A 15 were conducting at the time of the incident.
- (6) (U//FOUO) Continue to distinguish U.S. and trainees through uniform, grooming, and other highlighted procedures to mitigate future incidents.

//ORIGINAL SIGNED//

(b)(3), 10 U.S.C. 130b, (b)6)

Investigating Officer

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All unannotated redactions are via 5 U.S.C. 552(b)(3), 10 U.S.C. 130b, and (b)6).

Tab A.

DA Form 1574-1 - Report of Proceedings by Investigating Officer

Tab B.

Investigating Officer's Memorandum of Findings and Recommendations

Tab C.

Appointment Memorandum



UNITED STATES SPECIAL OPERATIONS COMMAND

OFFICE OF THE CHIEF OF STAFF 7701 TAMPA POINT BLVD. MACDILL AIR FORCE BASE, FLORIDA 33621-5323

10 November 2016

MEMORANDUM FOR HEADQUARTERS, U.S. ARMY SPECIAL OPERATIONS COMMAND, 2929 DESERT STORM DRIVE, FORT BRAGG, NC 28310-9110

SUBJECT: Appointment as AR 15-6 Investigating Officer

- 1. Appointment: You are appointed an investigating officer (IO) pursuant to Army Regulation (AR) 15-6 to conduct an investigation into the facts and circumstances of an incident in Jordan, occurring on or about 4 November 2016, and resulting in the deaths of three U.S. Special Forces Soldiers. Your responsibilities as IO take precedence over all other military duties. You have 30 days from the date of this appointment to conduct this investigation; if you need additional time, submit a request through your legal advisor.
- 2. General instructions: The purpose of this AR 15-6 investigation is to determine the facts of this incident, and to identify any issues that will improve DOD operations and avoid similar occurrences. Subject to the limitations described in paragraph 3, below, you should explore any issues or deficiencies in policy, procedures, resources, doctrine, training, and leadership that might have contributed to this incident. If, during the course of your investigation, you discover information that may require expanding or restricting this investigation, or otherwise modify your instructions, immediately notify me through the SJA, USSOCOM, or directly as necessary.
- 3. Required coordination: It is important for you to note there is an on-going FBI criminal investigation into this incident, and that investigation takes priority. The AR 15-6 investigation will not impede or interfere with the criminal investigation, and I direct your close coordination with the FBI and any other investigations, to ensure lack of interference. I expect you to work jointly with the FBI and others as much as possible to conserve time, resources and avoid inconvenience for witnesses; whenever possible, you should use witness statements or other evidence collected by the FBI and others. This 15-6 is not a joint investigation with the FBI, and you will produce your own report of investigation focused on issues of special consideration for DOD. I expect you to share evidence to the maximum extent. If you have issues de-conflicting with FBI or other investigators, consult the legal advisor for coordination with other agencies as necessary.
- 4. Scope of investigation: You are to investigate the facts and circumstances surrounding the 4 November 2016 incident. During your investigation, you will focus on issues important to DOD consideration, including specifically:

- a. Identify all of the US soldiers involved or present at the time of the incident, and any foreign troops or other personnel present.
- b. What was the duty status of the US soldiers, and what was their task and purpose at the time of the shooting?
- c. Identify where each of the soldiers was located in the convoy, and outline their movements during the incident.
- d. What were requirements for personal protective equipment (PPE), and were there any issues of compliance?
- e. What were the convoy procedures applicable at the time of the incident, and determine whether there were any issues of compliance with those procedures.
- f. What was guidance for access control point entry, and were there any issues of compliance by US soldiers?
- g. What were rules as to arming of US soldiers at this time, and were there any issues?
- h. How long had these soldiers been on this mission, and did duration of their deployment have any impact on their readiness, information, training, or in any other regard?
- i. Describe the actions of the soldiers during the incident. If there is any videotape depicting the incident, identify personnel on the video.
- j. Identify medical response to the incident and whether there were any issues in rendering appropriate medical care.
- k. Determine the duty status of any soldiers killed during this incident IAW AR 600-8-4, and any other information relevant to line of duty determination.
- I. Identify any military issued equipment that was not recovered and, to the extent possible, determine the cause of the loss.
- m. Identify any training or pre-deployment preparation for this mission, and assess its sufficiency for the deploying soldiers and units.
- n. What were the emergency procedures in place, and was there any pre-mission planning for such potentialities?

SUBJECT: Appointment as AR 15-6 Investigating Officer

- Conduct of the investigation.
- a. Use the guidance in AR 15-6, Chapter 3, for conduct of this investigation. There is no named respondent.
- b. Witness statements should normally be written and sworn where possible. Use a DA Form 2823 for sworn statements; if unable to execute written sworn statement, then ensure you have a witness verify the contents of any record of testimony. IAW AR 340-21, provide a Privacy Act statement to a witness as necessary and if you do not use a DA Form 2823. As noted above, you should first rely on witness statements taken in the FBI investigation.
- c. If in the course of your investigation you suspect someone of committing criminal conduct, consult your legal advisor for appropriate rights advisement under Article 31, UCMJ, or the 5th Amendment of the US Constitution. Document any rights advisement and witness waivers on a DA Form 3881 (Rights Warning Procedure/Waiver Certificate).
- Should you determine in the course of your investigation that a soldier's status has changed from favorable to unfavorable, as defined in AR 600-8-2, you must notify me immediately and consult with your legal advisor, to ensure a flag is initiated against that soldier.
- e. Before beginning your investigation, you will get a legal briefing from the USSOCOM Office of the Staff Judge Advocate. That office will remain your legal advisor throughout the course of your investigation, and they should be your first point of contact on any issues relating to the investigation. Primary POC is (b)(3), 10 U.S.C. 130b, (b)(6) or, alternate POC is

Report of investigation:

- a. You will prepare a written report of investigation and attach it as a memorandum to a completed DA 1574-1. The completed report will include:
 - (i) memorandum of appointment;
 - (ii) completed DA Form 1574-1, Report of Proceedings by IO;
 - (iii) detailed chronology of the investigation;
 - (iv) index of exhibits;
 - (v) all exhibits, labeled and numbered;
 - (vi) list of witnesses interviewed, or whose statements you have included;
- (vii) if applicable, proper classification markings for each paragraph, page and exhibit included with your report of investigation;
 - (viii) memorandum of findings and recommendations;

SUBJECT: Appointment as AR 15-6 Investigating Officer

- (ix) unclassified executive summary of the report of investigation.
- b. Findings: You will reach findings by preponderance of the evidence. A finding is a clear and concise statement of facts that can be readily deduced from the evidence. In your report, develop specific findings and cite the evidence in support of that finding. If evidence conflicts, make a finding regarding which evidence is more credible and, as possible, explain your resolution of the competing evidence.
- c. Recommendations: Based on your findings, make recommendations regarding what changes, if any are needed in terms of policy, procedures, resources, doctrine, training, and leadership to avoid similar incidents in the future, as well as any other recommendations your investigation reveals.
- d. Submission: Submit your report of investigation to the USSOCOM Office of the Staff Judge Advocate for legal review. The SJA will engage you with other involved agencies in order to de-conflict any issues with your report and findings of other investigations.

(b)(3), 10 U.S.C. 130b, (b)(6)

J. MARCUS HICKS
Major General, U.S. Air Force
Chief of Staff

Tab D.

Investigation Timeline

Tab E.

Exhibits

Exhibit 1.

Federal Bureau of Investigation 302 Interviews

Withheld due to ongoing FBI investigation

Exhibit 2.

30 Nov 16, ODA 35 / ODA 15 Interview Memorandum

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DEPARTMENT OF THE ARMY

HEADQUARTERS, UNITED STATES
SPECIAL OPERATIONS COMMAND (AIRBORNE)
FORT BRAGG, NORTH CAROLINA 28310

AOCG 5 December 2016

MEMORANDUM F	OR Record
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SUBJECT: Interview / Discussion with SFOD-A 35 and SFOD-A 15

	 On 30 November 2016, I travelled to Ft Campbel 	I, KY to interview members of				
SFOD-A 35 and SFOD-A 15 regarding the 4 November 2016 shooting of						
	(b)(3), 10 U.S.C. 130b, (b)(6)	on King Faisal Air				
	Force Base in the vicinity of Al-Jafar, Jordan. I was	accompanied by (b)(3), 10 U.S.C. 130b, (b)(6)				
	(b)(3), 10 U.S.C. 130b, (b)(6)					

2. ODA 35 was represented by

(b)(3), 10 U.S.C. 130b, (b)(6)

(b)(3), 10 U.S.C. 130b, (b)(6)

were in Jordan at the time of the shooting.

3. ODA 5 was represented by (b)(3), 10 U.S.C. 130b, (b)(6)

(b)(3), 10 U.S.C. 130b, (b)(6)

were in Jordan at the time of the shooting.

- 4. I started the discussion by introducing myself and explaining my role as the Army Regulation (AR) 15-6 Investigating Officer.
- a. I explained that the FBI were the lead investigators and were conducting a criminal investigation of the incident and that the concurrent AR 15-6 Investigation would not interfere with the FBI's investigation.
- b. I explained that there were a few follow-up questions that I had and did not intend to go over the information that they previously provided to the FBI.
- c. In order to not interfere or counter any instructions from the FBI, asked the participants if anyone had instructed them not to communicate with one another or not to discuss the case. Both teams replied that they had not been instructed by law enforcement agencies not to discuss the case.

	d.	The	from SFOD-A	35		and SFOD-A
5	5 (stated	that b	oth teams condu	icted this mission

in the past throughout the AOR. They also noted that neither team interacts with the local populace, nor nothing seemed out of the ordinary that day.

- e. When the team would go out for training they would drive (b)(7)(e), (b)(7)(f) vehicles. When required to go out for longer trips beyond the ranges they utilized a distinct more street version The guard (b)(7)(e), (b)(7)(f)force was well versed in the use of both of these types of vehicles.
- f. The ODA were equipped with handheld radios and were allocated based on POI and ranges, not on convoy procedures (b)(7)(E), (b)(7)(F)(b)(7)(E), (b)(7)(F)

had a Motorola radio in his vehicle, but was unable to reach it did not have a radio in his vehicle. before exiting.

- In accordance with (IAW) their procedures, (b)(7)(e), (b)(7)(f)All of the Soldiers were pistols and carried them. They were assigned issue from both teams indicated that there was no loss of personnel or unit equipment resulting from the incident. ODA personnel were not required to have body armor unless they were conducting. demolition, rockets, or mortars training.
- personnel were normally the first The h. ones to the range. Their purpose was to ensure the rage was clear of hazards and civilians, such as bedouins roaming the area. Students would wear a uniform consistent with the host nation and were clean shaven. The team instructors would wear civilian clothing with a ball cap, and adopted relaxed grooming standards. The guard force was accustomed to this standard. The Soldiers participating in the convoy were all in distinct and Caucasian therefore highly unlikely to be confused with any of the local populace or students.
- As verified by video evidence, on the morning of 4 Nov 16, the failed to physically exit his guard shack and verify that US Soldiers were entering the base. typically do not exit their shack to verify the identity of the convoy personnel. Although stated by the Jordanians the correct procedures is for a Jordanian LNO to exit and identify all US and training participants, the gate guards generally recognize the Americans and let them in. A recommendation soldier should actively ride in the convoy leaving or entering the was made that one base as this might mitigate future incidents.
- There was no evidence of collusion from the guards. This is supported by the fact that the initial guard that removed the anti-vehicle obstacles and opened the gate did not flinch or display any sign that a negligent discharge (ND) or backfire

occurred prior to the rounds impacting (b)(3), 10 U.S.C. 130b, (b)(6) vehicle (see video). He held the rope for the gate and only reacted (changed his body position and foot position) when the rounds started hitting the second vehicle's windshield and roof as seen in the video. The guard was not wearing a ballistic helmet or body armor. He did not engage from the rear when he had the chance nor did he resist when disarmed him. When the QRF became aware of the situation they maneuvered the vehicle only to support a defensive position and restrict entry to the base versus engaging any perceived threat outside the base. This movement was not made in an offensive posture. As stated earlier they provided suppressive fire support only. The internal Jordanian guard force appeared to be confused by the situation and lacked organization. They stopped everyone from entering or exiting the scene as to avoid further fatalities.

- During the engagement, external forces in the barrel truck attempted k. from approaching the incident as they were unsure of the to keep the situation and believed it was dangerous for Americans. (b)(7)(E)
- I. became aware that there was a problem around the time his vehicle reached the location of the Jordanian QRF (quick reactionary force) vehicle. Unsure of what was going on he maintained his posture in the vehicle.
- m. exited his vehicle, took up a defensive posture and tried to shout in English that they were friendly. to reach his radio, exited his vehicle, took up a defensive posture and tried to shout in Arabic that they were friendly.

(b)(7)(E), (b)(7)(F)

There is to evidence of shots fired or loud noises prior to the alleged n. shooter engaging Vehicle There was no weapons training or demo training in the vicinity. None of the local military force was involved in any training that could be attributed to a loud bang. All of the vehicles in use relatively very new, had low mileage. and were well maintained, thus unlikely to experience a mechanical malfunction that would cause a backfire.

b)(7)(E), (b)(7)(F)

- Clarification on the damage to Vehicle grill area that included wood stuck in the radiator. When one of the Jordanian Captains attempted to render aid and remove from Vehicle the vehicle lurched forward and hit a wood verified that the vehicle did end up against picket fence that was nearby. the fence with a piece of wood protruding from the grill.
- and arrived at the ammunition supply point (ASP) ten minutes prior to the incident at the gate. They were alerted that there was an incident at the gate. They headed to the gate and attempted to assess the situation. was injured on the ground outside the gate. Initially They realized that

they attempted to retrieve him but were fired upon from an unknown location. Later they were able to retrieve and evacuated him to the air station.

and (18D) provided medical treatment during the movement to the aid station. Upon arrival to the aid station, was prepared for ground evacuation to the airfield with follow on fixed wing MEDAVAC to King Hussein Hospital in Amman.

5. POC is the undersigned at (b)(3), 10 U.S.C. 130b, (b)(6)

Exhibit 3.

Medical Infrastructure Assessment

DEPARTMENT OF THE ARMY HEADQUARTERS, UNITED STATES ARMY SPECIAL OPERATIONS COMMAND (AIRBORNE) FORT BRAGG, NORTH CAROLINA 28310



AOCG

21 December 2016

MEMORANDUM FOR RECORD

SUBJECT: U.S. Medical Infrastructure Assessment for King Faisal Air Base Jordan, Shooting

- 1. U.S. forces operated a Level 1 Aid Station at the King Faisal Air Base in Jordan. The aid station was staffed by a licensed U.S. Physician's Assistant and a qualified U.S. civilian medic (former 18D Special Forces Sergeant).
- 2. A U.S. rotary wing medical evacuation helicopter (MEDEVAC) was staged at the Base during any high risk training, but was not in the area on 4 November 2016.
- 3. SFOD-A members (b)(3), 10 U.S.C. 130b, (b)(6) were available to provide additional medical care in their capacities as current/qualified Special Forces Medical Sergeants.
- 4. The Level 1 Aid Station was equipped with Advanced Trauma Life Support equipment, Advanced Cardiac Life Support equipment and capabilities for basic laboratory evaluations and monitoring. This included a 12-lead EKG, Ultrasound, and ISTAT for basic labs.
- 5. The PACE plan and contingency operations had been developed to include two forms of direct radio/cellular communication.
- 6. All medical personnel had prior deployment experience and a regional medical site survey was conducted by the current SFOD-A to include a site survey of the King Hussein Medical Center.
- 7. Rehearsals were also conducted by the SFOD-A and supporting medical team.
- 8. MEDEVAC requests were by secure phone with three alternate forms of communication to include internal secure messaging, satellite phone and alternate local cellular phone service.
- 9. Casualty routing had been established for both ground and air evacuation.

AOCG

SUBJECT: U.S. Medical Infrastructure Assessment for King Faisal Air Base Shooting

- 10. The absence of a rotary wing aircraft at the Base resulted in reliance on fixed wing MEDEVAC that took approximately two hours from time of injury to arrival at the King Hussein airport. Autopsy results show that no amount of medical care could have intervened in the outcome of these three Soldiers.
- 12. The point of contact for this memorandum is the undersigned at

(b)(3), 10 U.S.C. 130b, (b)(6

[ORIGINAL SIGNED]

(b)(3), 10 U.S.C. 130b, (b)(6

Exhibit 4.

Incident Medical Evacuation Storyboard

Al-Jafr Camp Medical Capabilities, Communications PACE and Casualty Routing

On-Site Medical Capabilities:

- Advanced Trauma Life Support
- Advanced Cardiac Life Support
- Basic Labs and Monitoring (12 EKG, Ultrasound, ISTAT)

On-Site Medical Personnel:

- US Civilian Physician's Assistant
- USSF 18D x 2

Communications PACE:

Point of Injury to Base

(b)(7)(E), (b)(7)(F)

MEDEVAC Request

(b)(7)(E), (b)(7)(F

Casualty Routing:

- 1. Point of injury to Al-Jafr US Clinic: Ground CASEVAC
- 2. US Clinic to Marka Airfield: US Fixed-wing MEDEVAC
- 3. Marka Airfield to King Hussein Hospital: Jordanian rotary-wing MEDEVAC (Tail to Tail transfer)



Coalition Aid Station is fully stocked with emergency care supplies and narcotics. There is a who lives on site.

CASEVAC: If Coalition member is seriously injured; base will notify Company Air assets in Markah airport who fly to KFAB (~45min flight). Patient will be loaded onto AC, return back to Markah (~45min flight), then be loaded onto ambulance and driven to King Hussein Medical Center (~15min drive).



Exhibit 5.

Autopsies

Pages 24 through 41 redacted for the following reasons:

(b)(3), 10 U.S.C. 130b, (b)(6)

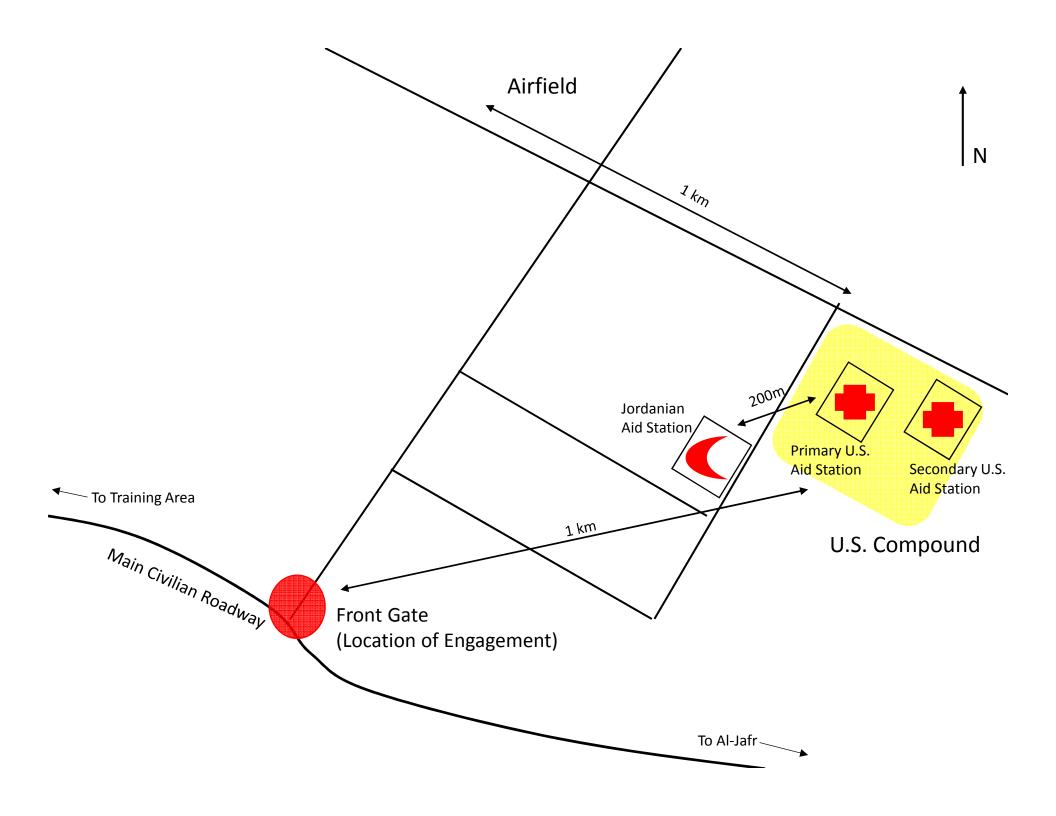
Exhibit 6.

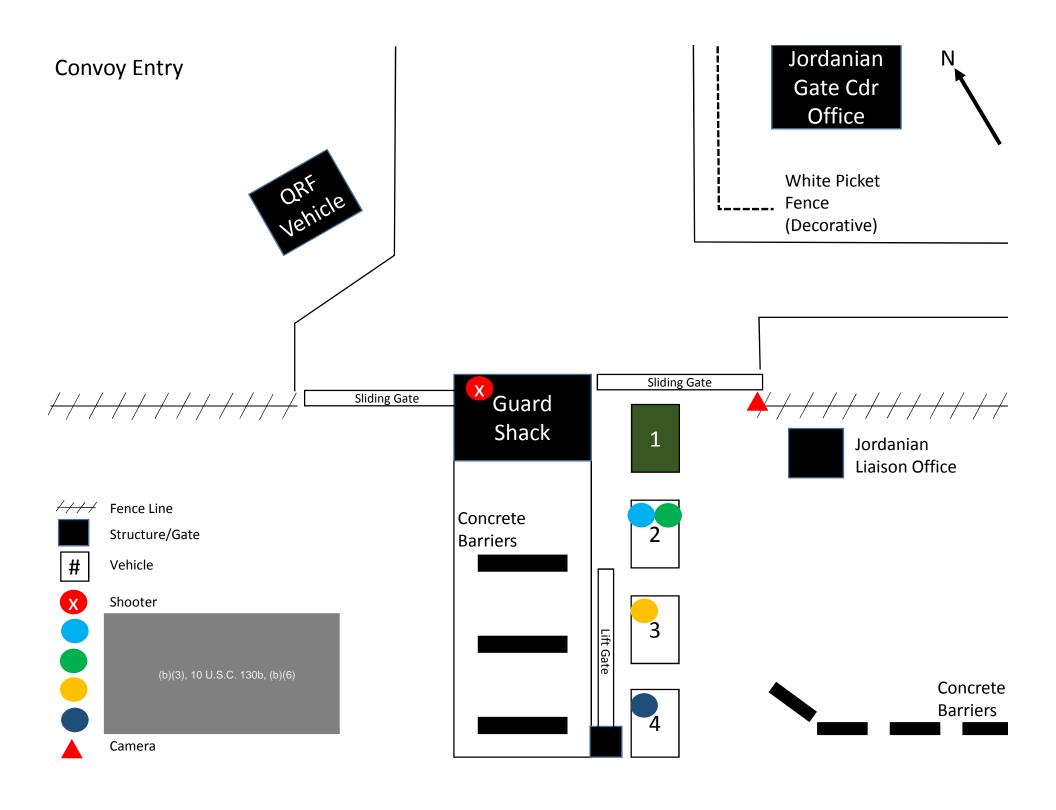
JAF Airbase Map and 4 Nov 16, Incident Diagram

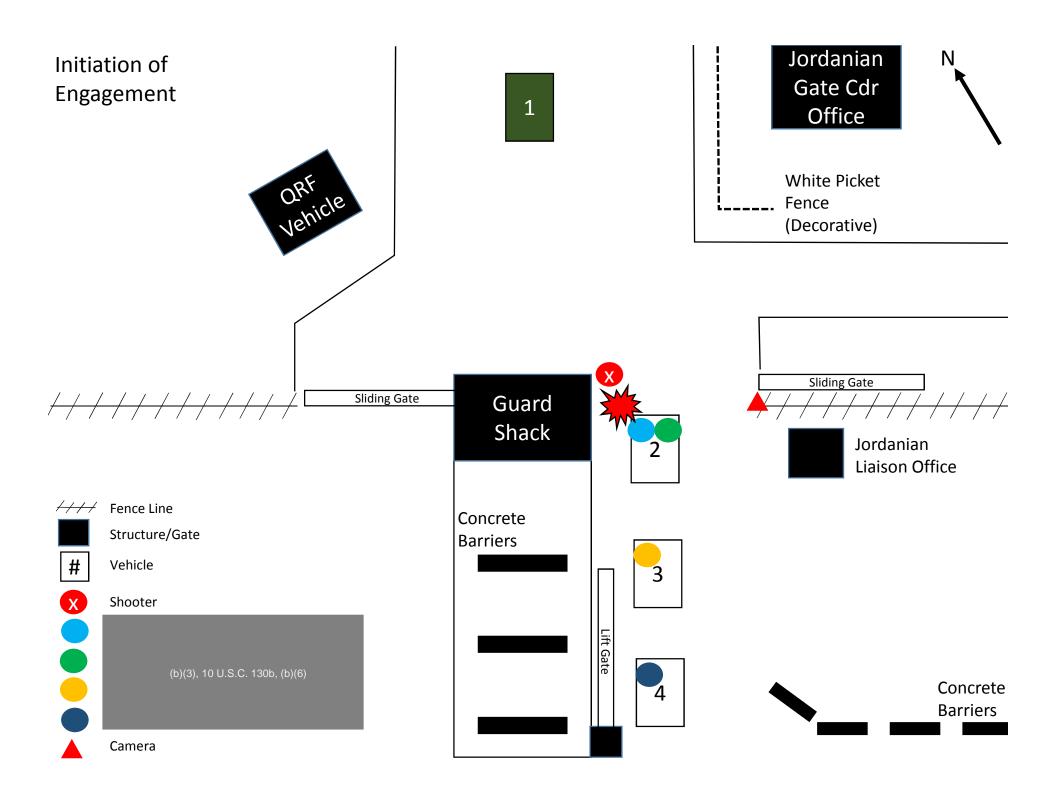












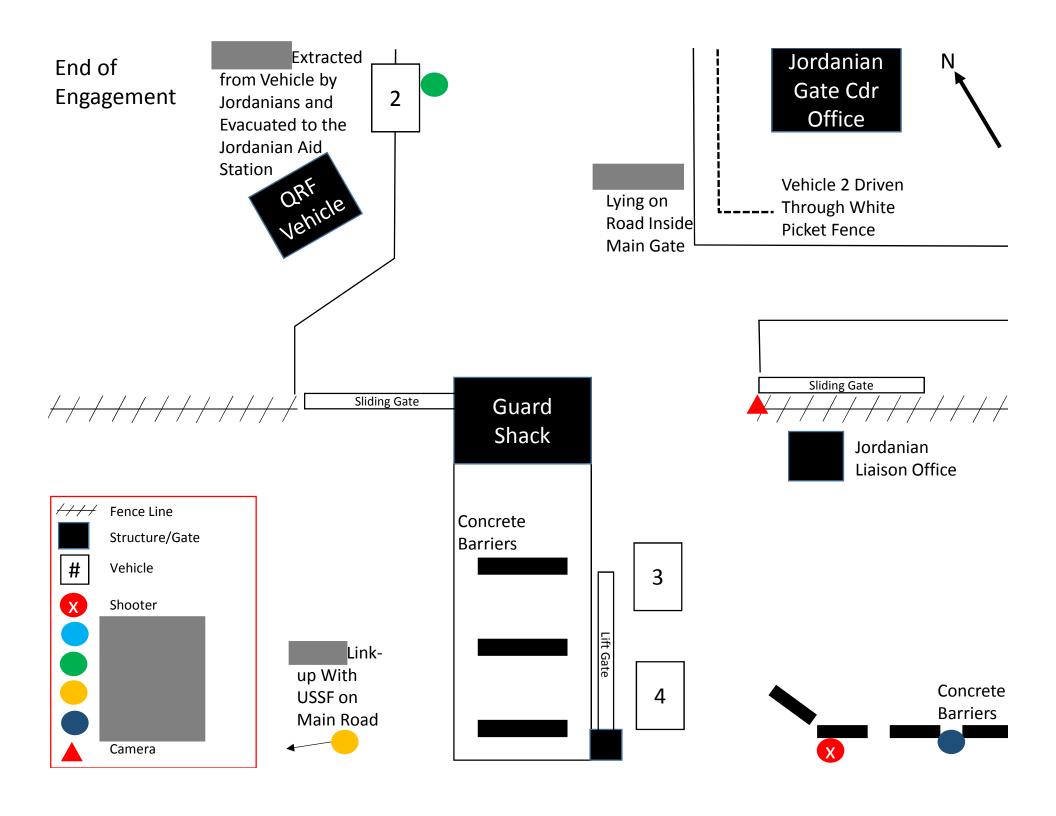


Exhibit 7.

Jordanian Investigation report

Withheld due to ongoing FBI investigation

Exhibit 8.

4 Nov 16, Incident Video

Withheld due to ongoing FBI investigation

Exhibit 9.

Pre-Mission Training

ODA 5 Pre-Mission Training Activities

May-September 2015

- Language Training (Levantine Arabic)
- Foreign Weapons Training
- Anti-Tank Weapons Training (Karl Gustav)
- 60mm/81mm Mortar Training
- Mortar Fire Direction Center Training
- Demolitions Training
- Tactical Combat Casualty Care with Live Tissue Training
- Medical Training Concept with 101st Airborne (Air Assault)
- Small Arms Training Concept with 101st Airborne (Air Assault)

April - June 2016

- Special Forces Advanced Urban Combat Course
- Language Training (Levantine Arabic)

ODA 15 Pre-Mission Training Activities

- 1. **13-24JUL15** the team conducted a two week training exercise with approximately 170 Soldiers from the 101st Combat Aviation Brigade and taught them Small Unit Tactics (SUT), Direct Action (DA)/ Close Quarters Battle (CQB), Combat Marksmanship, Mission planning/OPORD briefings, and several mini missions that culminated in a combined final assault on two simultaneous blank fire shoot houses.
- 2. **14-26SEP15** the ODA conducted HAVEACE in Florida covering DA, mission planning, FRIES, and TCCC/LTT.
- 3. 2-6NOV15 the team went to the Mobile Threats and Targets Training and AFSOC SERE

 Training to learn

 (b)(7)(e), (b)(7)(f)

 (b)(7)(e), (b)(7)(f)

 (b)(7)(e), (b)(7)(f)

 We also conducted a two day training event with AFSOC SERE instructors for Wartime/Peace time hostage scenario, aggressive Customs interrogations, and restraint escapes.
- 4. **17-20NOV15** we conducted a live fire range with the M240 and Russian PKM for a machine gun qualification range and an M4 qualification range. Both weapon systems were conducted in day and night time.
- 5. recertified on SOCMS from 29NOV-11DEC15.
- 6. **04-07JAN16** the team conducted a three day live fire movement to contact range with M4, M240, HE grenades, and 60mm Mortars before deploying.
- 7. During the deployment the team conducted several live fire ranges with Russian weapons: AK-47, RGP, SPG-9, DsHKA, KPV, 80 and 120mm Mortars, Glock 19 ranges and medical scenarios.
- 8. **13-23SEP16**, and traveled to Jordan to conduct a PDSS.

9. **19-23SEP16** (b)(3), 10 U.S.C. 130b, (b)(6) went to (b)(7)(e), (b)(7)(f)

(b)(7)(e), (b)(7)(f)

10. In **SEP16** we conducted TCCC/LTT and several mortar and demo ranges.

In-between all of these training events, the team conducted language training in both MSA and Levantine.

Exhibit 10.

ODA 5 35 Standard Operating Procedures

ODA 5 Notes:

5 IA Longevity:

All 35 pax were on their second four month deployment to the location and had spent apx 240 days on the base during NOV15-MAR16 and JUL16-NOV16.

(b)(3), 10 U.S.C. 130b, (b)(6)

Force Protection:

- -Soldiers had local cell phone on their person at all times
- -Soldiers operated in buddy teams whenever training off post
- -Each Soldier carried a 9mm pistol w/ 3 x magazines
- -While training students, each POI carried a minimum of 1 x AK47, 1 x Radio
- -All off-post training was coordinated at least 24 hours prior with partner force
- -Partner force provided security at all off post training sites and on-post live-fire training

ROE:

All Soldiers had the right to use whatever force necessary to defend themselves or any other Coalition forces.

Team/Camp MEDEVAC:

- I. Point of Injury to CCP
 - A. PI (primary) or AI (secondary) calls immediate ceasefire, gains control of students, and notifies RSO of medical emergency via radio (primary), cell phone (alternate), star cluster (contingency), and runner (emergency).
 - B. Range Safety Officer calls all PIs to halt training and gain accountability of students, notifies PA and 18D of medical emergency, and alerts camp to begin preparation for appropriate MEDEVAC from camp to hospital.
 - C. PA and available 18Ds move to location of medical emergency, triage casualties, stabilize casualties, and ground evacuate casualties to camp clinic (CCP). Maximum driving time from training areas to camp 30 minutes.
 - D. At clinic, PA and 18Ds further evaluate and treat casualties. PA makes MEDEVAC decision.

II. CCP to Hospital

- A. (Primary) Fixed wing MEDEVAC with 18D/PA providing en route care from camp to airfield (Flight time 45 minutes). Ground MEDEVAC from airfield to hospital (average driving time 45 minutes).
- B. (Alternate) Ground MEDEVAC from camp to hospital with 18D/PA providing en route care (average driving time 2 hours and 30 minutes).

ODA 5 Notes

On 13-23SEP16, traveled to Jordan to conduct a Pre-Deployment Site Survey (PDSS) of the camp, given tours of the facilities and frequently traveled off the base to nearby live fire ranges. Current procedures for entering the camp were as follows:

- 1. All vehicles would slowly approach the JAF manned checkpoint.
- 2. Each vehicle would pass multiple JAF soldiers manning the checkpoint either on foot, in shacks/buildings, or gun trucks.
- 3. Each driver would simply smile and wave a hand before being allowed to enter. No ID card checks or verbal questions were ever asked. It was assumed that the guards knew the vehicles all the instructors regularly drove and the presence of Caucasian men with beards was all the verification the guards needed.

When I spoke to the n site and to the other personnel on ODA 35, the CASEVAC plan was describes as follows:

- 1. When a live fire range during training was scheduled, AC at Marka Airport would be on standby for CASEVAC. When live fire ranges were not scheduled, AC crew were not on standby and left one person at the hanger to answer phone calls.
- 2. In the event of a CASEVAC call, the AC crew would fly down to the base (approximately 45 min flight), pick up the casualty at the airfield, fly back to Marka (approximately another 45 min flight), casualty would then be transferred to a waiting ambulance and be driven to the King Hussein Medical Center (approximately 15 min drive), then handed over to doctors and waiting personnel.

 (b)(7)(E)

I rose my concerns to the about such a long gap in time from the point of injury to actually seeing a doctor in the hospital (approximately 1hr and 45min best case scenario and extremely unlikely), but was told that this was the best option. Apparently there used to be JAF helo support co-located at the base, but was re-tasked to other missions deemed more important.

ODA 15 (Compiled from current Team Members)

Force Protection Policy:

- We were not conducting offensive or defensive operations. The defacto policy was to defend your life if necessary.
- Each man carried a Glock 19 (round in chamber), with two additional magazines on our person (3 total/15 rounds per magazine)
- Each Soldier kept his AK in his room with 4-8 magazines. This was in preparation for a camp defense situation.

ROE:

• If a situation became threatening, de-escalate if possible and defend your life and the lives of fellow coalition partners if necessary.

Convoy Operations:

 We did not have any convoy formation policies. We travelled freely around camp. It was smarter to not travel alone but it was not a formal policy.

Gate procedures:

- 1. A driver or drivers would drive to the gate at a slower pace and stop at the first gate.
- 2. A Jordanian gate guard would raise the first gate and the vehicles would roll forward to the second gate and stop if the second gate was shut. If another guard had already opened the second gate the team would drive through the second gate and enter the base.
- 3. The guards would recognize the coalition vehicles based on their make, model and appearance.
- 4. No identification was required.

Duration at Site:

- an arrived at camp on 3November 2016.
- and arrived at camp on 27 October 2016
- arrived at 29 October 2016.

MEDEVAC:

- The US was lead medical person at the camp. There were two medical bays available for treatment, both stocked and prepped for TCCC.
- If evacuation was necessary, it was possible to move by vehicle (ambulance) or air to Amman. Based upon the severity of the situation the evacuation method would be determined. The air platform was a civilian plane in Amman that would be dispatched if necessary.

Security Posture at the site:

- The Jordanian gate guards positioned one Soldier in the guard shack with his rifle at the low ready. There was also a truck with an M60 machine gun mounted on top. The truck was parked 100m inside the gate and underneath a shade structure. The M60 machine gun was oriented towards the gate at incoming traffic. The truck was manned by 3 Jordanian soldiers.
- The Jordanian forces were there for our protection. If we couldn't trust them, our focus would have been entirely upon protecting ourselves, and could not have done the training mission.

Thoughts on how to prevent/mitigate future incidents:

- When the identifiable Coalition vehicles approach, the guards should sling their weapons or not be at the low ready.
- The security guards often displayed negligence for basic weapons handling and safety which could be improved in the future.
- When the students are not at the camp, the security posture of the security at the gates should be lowered. Specifically, the number of guards at the gates could be reduced to three Soldiers.
- In the future, the guards at the outer gate could have a less defensive posture in order to scale back the tensions from the 4 November 2016 incident when coalition personnel enter and exit.

Exhibit 11.

Incident Convoy US Personnel ERB's

Pages 60 through 64 redacted for the following reasons:

(b)(3), 10 U.S.C. 130b, (b)(6)

Exhibit 12.

Sworn Statements - DA 2823s

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY:

Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

PRINCIPAL PURPOSE: To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline,

law and order through investigation of complaints and incidents.

ROUTINE USES:

Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention,

placement, and other personnel actions.

DISCLOSURE: Disclose	ure of your SSN and other info	ormation is volunt	iry.		
1. LOCATION		2. DATE (YYYY	'MMDD)	3. TIME	4. FILE NUMBER
Prince Faisal Airbase, Jafr, Jor	dan	20161	104	1900	
5. LAST NAME, FIRST NAME, MI	DDLE NAME	6. SSN			7. GRADE/STATUS
(b)(3), 10 U.S.C. 130b, (b)				(b)(3), 10 U.S.	C. 130b, (b)(6)
8. ORGANIZATION OR ADDRES					
SFOD-A (b)(3), 10 U.S.C. 130b,	(b)(6				
9. (b)(3), 10 L	J.S.C. 130b, (b)(6)	, WANT TO) MAKE THE	FOLLOWING STAT	EMENT UNDER OATH:
On 04NOV16, I returned to the	e airbase after conducting	offsite training	at approxin	nately 1115. I drov	e to the ASP with
					officer drove quickly into the
ASP and yelled "American are					r vehicles and drove to the
front gate of the JAF airbase. I		enroute	to the gate	and he stated that I	he was in a convoy stuck
outside of the gate	stated that there were	American vehi	cles shot at	the gate and JAF s	soldiers would not let him
101		informed him o			Control of the contro
					ion of the JAF headquarters. As
					my vehicle. I flashed my lights
and a second JAF vehicle flash					
cruiser driving from the direct					
I exited our vehicles and walks		200 St. Committee		A STATE OF THE PARTY OF THE PAR	There were several JAF
					inside of the gate with multiple
	vas lying on the road i				d the second vehicle had driven
up the curb. appeared to look like			na venicie		(b)(6) It
wounds. At this point,	(b)(3), 10 U.S.C. began talking with the		arconnal or		towards the front
gate. On approach to the gate I					and I reached the
front gate, we saw several JAF					
	e down along the main roa				
the radio and he stated that JA	F soldiers had weapons po	inted at the Am	erican conv	ov located outside	of camp and were taking
warning shots whenever any A					tempted to exit the gate to
					an unknown location and JAF
soldiers told us not to exit the					
					it personnel to the gate to direct
the American vehicles back on					
compound, I moved back up to					evacuated to the American aid
station. At that point, I assisted				ch was placed in a	JAF ambulance and evacuated
to the American aid station. Pr		and I d	irected		the down vehicles and all of
the weapons and equipment in					nerican aid station. Prior to
				of the JAF and sta	tion. The soldiers claimed that
there was one American and or	ne Jordanian soldier wound	ded inside the a	ld station.	(b)(3), 10	U.S.C. 130b, (b)(6)
10. EXHIBIT	11.	INITIALS OF PER	SON MAKIN	IG STATEMEN	()
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				\$	(b)(7)(E)
THE BOTTOM OF EACH ADDITION	ONAL PAGE MUST BEAR TH	E INITIALS OF T	HE PERSON	MAKING THE STA	TEMENT, AND PAGE NUMBER

MUST BE INDICATED.

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and I entered the aid station stayed in the JAF aid station to provide			lying on a :			medical personnel. I ican camp to direct
all personnel. At that time	medical care to	(b)(intoved b	ack to the Amer	ican camp to unect
(b)(6)	which was being t				I approached	to
conduct a		(b)(3), 10 U.S	S.C. 130b, (b	o)(6)		
	(b)(3), 10 U.S.C. 130					F aid station did not
have the appropriate supplies to condu- for transportation to the American aid		so				he JAF ambulance
(b)(6)	station. Inside the ai		, (b)(7)(F)	(b)(3), 10	U.S.C. 130b, (b)(6)
(b)(7)(E), (b)(7)(F)				ention of a JAF	F officer, a JAF	driver was instructed
to drive the ambulance to the American	n aid station. I helpe	d move			m the JAF ambu	
American aid station and handed him of	5119005 6500	The second secon	at time	Wa		and
was treating gunshot wounds to be (b)(6) at this time	0	. I assiste	· ·	(b)(3), 10 U.S.C.	. 130b, (b)(6)	who appeared to
at this time	(b)(3)	(D) ; 10 U.S.C. 1		C. 130b, (b)(6)		
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difficial rassisted in administrative task						
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		(b)(3) 10 0.	S.C. 130 b, ((b)(6)		
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BY ME. THE STATEMENT IS TRUE. I HA	AVE INITIALED ALL	CORRECTION	NS AND HAV	VE INITIALED T	HE BOTTOM OF F	EACH PAGE
CONTAINING THE STATEMENT. I HAVE	MADE THIS STATE	MENT FREEL	Y WITHOUT	HOPE OF BEN	IEFIT OR REWAR	D, WITHOUT
THREAT OF PUNISHMENT, AND WITHO	OT COERCION, UNL	AVVEUL INFLU	JENCE, OR			
		_		(b)(3), 10 L	J.S.C. 130b, (b)(6)	
	_			(Signature of Pe	erson Making State	ement)
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*		adr	ninister oaths	s, this	day of	1
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(b)(3), 10 U.S.C. 1	30b, (b)(b)					
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			30	Cignature of Fe		, Julii)
	1001 (1)(0)					
(b)(3), 10 U.S.C. 1	130b, (b)(6)		(T	yped Name of F	Person Administerii	ng Oath)
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INITIALS OF PERSON MAKING STATEME	NT					
	(b)(3), 10 U.S.C. 1	30b, (b)(6)			PAGE 2	OF 2 PAGES
DA FORM 2823, NOV 2006				_		APD LC v1.01ES

SWORN STATEMENT For use of this form, see AR 190-45; the proponent agency is PMG. PRIVACY ACT STATEMENT Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN). AUTHORITY: PRINCIPAL PURPOSE: To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents. **ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions. DISCLOSURE: Disclosure of your SSN and other information is voluntary. 1. LOCATION 2. DATE (YYYYMMDD) 3. TIME 4. FILE NUMBER 1600 Prince Faisal Airbase, Jafr, Jordan 20161104 7. GRADE/STATUS 6. SSN 5. LAST NAME, FIRST NAME, MIDDLE NAME 8. ORGANIZATION OR ADDRESS SFOD-A (b)(3), 10 U.S.C. 130b, (b)(6) 9. , WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH: (b)(3), 10 U.S.C. 130b, (b)(6) At approximately 1130 local time on 04 NOV 2016, I returned to Al-Jafr Air Base from the D8 range. I entered the base following . There was an unusually long delay before the gate guard acknowledged us and opened the gate for us. Once on the instillation, we drove straight to the ASP where I parked the Land Cruiser pickup I had driven to the range. Afterward, I sat in the ASP with waiting on the remainder of the group, which departed D8 after us, to return. After approximately 10 minutes came rushing over in ruck and notified us that there was an incident involving and I each jumped into our own vehicles and drove straight to the front gate. Americans at the gate. and I stopped our vehicles beside the large white building located to the right of the main gate as you enter the base. drove forward in his Land Cruiser Ambulance stopping approximately 30 meters before the gate. As and I exited our vehicles, there was a lot of unintelligible yelling coming from the JAF soldiers at the gate. We raised our hands and moved cautiously towards them. While doing so, 2 Jordanian ambulances arrived at the scene and stopped behind and I moved behind the first Jordanian ambulance while we tried to figure out what was happening. From was laying on the side of the road in front of us, and to the left. He where we stood, looking towards the gate To our right was a white Land Cruiser pick-up. There were several was clearly dead. bullet holes in the windshield on the driver's side of the vehicle. A piece of wood was sticking out of the grille and fluids were dripping from the engine compartment onto the ground. About 2 meters behind us, there was a small pile of brass and disintegrated links (used to hold rounds together on a belt-fed weapon). While behind the vehicle, and I were approached by a Jordanian officer who told us to stay in place because there was still shooting going on outside the base and that he didn't have a good grasp on what was actually happening. Around this time, I heard approximately 3 or 4 shots being fired; it sounded as they came from east of the gate. At this point the Jordanian officer left and directed JAF soldiers to move ambulance to try and clear the road. then arrived on the scene with and I explained what we knew of the body. At this point, I explained to that the vehicles outside the base were ours minutes later. told me that the road had been cleared. I tried to radio the situation to him and slowed him and that they needed to be brought back in. A few minutes later, who was outside the base with the other Americans to notify him that the road had been cleared. I was unable to reach him with my Motorola. While trying to make reach by radio. I heard my name being yelled from behind by They told me to get inside the ambulance. When I did, I saw was laying on a stretcher unconscious. Two Jordanians drove the ambulance to the U.S. already inside and that clinic while and I ried to identify the wounds on Upon arrival at the clinic, I helped invide. At that point, I continued to work as a runner grabbing any supplies that the medics needed carry from inside the camp. (b)(7)(E) 10. EXHIBIT 11. INITIALS OF PERSON MAKING STATEMENT PAGE 1 OF **PAGES** ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF TAKEN AT DATED

DA FORM 2823, NOV 2006

MUST BE INDICATED.

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER

STATEMENT OF (b)(3), 10 U.S.C. 130b, (b)(6)	TAKEN AT 1600	DATED	20161104
9. STATEMENT (Continued)	(b)(3), 10 U.S.C. 130b	, (b)(6)	
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I, (b)(3), 10 U.S.C. 130b, (b)(6) WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 2 BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED A CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT AND WITHOUT COERCION, I	I FULLY UNDERSTAND THE (ALL CORRECTIONS AND HAVE I ATEMENT FREELY WITHOUT HO UNLAWFUL INFLUENCE, OR UN	NITIALED THE BOTTOM OF OPE OF BENEFIT OR REWA LAWFUL INDUCEMENT.	STATEMENT MADE EACH PAGE
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(b)(3), 10 U.S.C. 130b, (b)(6)		PAGE 2	OF 2 PAGES APD LC v1.01ES

		VORN STATEMENT							
	For use of this form, se	e AR 190-45; the proponent a	gency is PMG.						
0	PRI	VACY ACT STATEMENT							
AUTHORITY:	Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).								
PRINCIPAL PURPOSE:		To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.							
ROUTINE USES:	Information provided may be further disclosed to federal, state, local, and foreign government law enforcement								
	agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and								
	the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention,								
		placement, and other personnel actions.							
DISCLOSURE:	Disclosure of your SSN and other info	Disclosure of your SSN and other information is voluntary.							
1. LOCATION		2. DATE (YYYYMMDD)	3. TIME	4. FILE NUMBER					
Prince Faisal Airbase,	Jafr, Jordan	20161104	1415						
5. LAST NAME, FIRST N		6. SSN		7. GRADE/STATUS					
		3), 10 U.S.C. 130b, (b)(6)							
8. ORGANIZATION OR A SFOD-A (b)(3), 10 U.S									
9.	S.C. 130b, (b)(6)	. WANT TO MAKE THE	FOLLOWING STAT	FEMENT UNDER OATH:					
	turning from training in a convoy			65					
vehicle 1, I was alone				S.C. 130b, (b)(6) were in sapproached the gate to JAF					
85	g was fine. The JAF soldier opened								
	nd internal gate. The internal gate								
	ck immediately opened fire with a								
	out of our vehicles and moved be								
	. He started to maneuver onto our			c to the guard shooting and the					
guard at the exterior lif	ft gate that we were Americans and	d we were friendly. Every t	time we put our ha	inds or heads up above the					
Control of the Contro	upon us and hit the barriers. I com			ed to attempt to shoot at the					
	he was not interesting in talking.			ast the first lift gate to more					
	uard continued to fire upon us and								
corner and shot				he guard fell to the ground and I					
	. I moved back to the guard at the								
him move with me bac	All and the second seco			the barrel truck which was					
at the range with us an Hilux with				l at the barrel truck a black					
TOTAL CONTRACTOR OF THE PARTY O	ne gate. As our vehicle moved town			d them what had happened and					
70.5	We stayed at that location with the			cured the gate from inside. We					
	quickly and went to the aid station								
	ey were evacuated.	n i assideem meand starre	m men provided a	14.1					
				(b)(7)(E)					
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				107					
10. EXHIBIT	_11.	NITIALS OF PERSON MAKIN	IG STATEMENT						
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MUST BE INDICATED.									

STATEMENT OF (b)(3), 10 U.S.C. 130b, (b)(6)	TAKEN AT 1415	DATED	20161104
9. STATEMENT (Continued)			
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WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 2			
BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED A CONTAINING THE STATEMENT. I HAVE MADE THIS STA			
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ORGANIZATION OR ADDRESS	(Signat	ture of Person Administeri	ing Oath)
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(b)(3), 10 U.S.C. 130b	(b)(6)	PAGE 2	OF 2 PAGES
DA FORM 2823, NOV 2006	, (D)(O)		APD LC v1.01ES

SWORN STATEMENT For use of this form, see AR 190-45; the proponent agency is PMG. PRIVACY ACT STATEMENT Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN). AUTHORITY: PRINCIPAL PURPOSE: To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents. ROUTINE USES: Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions. DISCLOSURE: Disclosure of your SSN and other information is voluntary. 1. LOCATION 2. DATE (YYYYMMDD) 3. TIME 4. FILE NUMBER 1830 Prince Faisal Airbase, Jafr, Jordan 20161104 5 LAST NAME FIRST NAME MIDDLE NAME 6 SSN 7 GRADE/STATUS 8. ORGANIZATION OR ADDRESS SFOD-, (b)(3), 10 U.S.C. 130b, (b)(6) (b)(3), 10 U.S.C. 130b, (b)(6) , WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH: At approximately 1200 on 04 NOV 2016 I was returning to Al Jafr Air Base after a familiarization range with the incoming ODA. and I were in my vehicle and we took a detour on our way back so I could show The incoming him another training area (Range D26). All the other vehicles in our convoy took the normal route straight back to the base. After the recon of the other training area and I returned to the base to enter at the front gate. We were approximately five minutes behind the other trainers and we were the last vehicle from the ranges. As we approached the front gate of Al Jafr Air Base from the West we were stopped by our Jordanian range security counterparts, who were also returning from the range, approximately 200m short of the entrance. They were parked in the middle of the road and out of their vehicle waving us down. They were very agitated and told us to move back with arm signals. I backed up about 25m. I could see a lot of activity at the gate and was trying to identify what was going on. At this time I heard a few gunshots who had already entered the base, called on the radio and informed me he hought something was going on at the gate. I informed him I was located near the gate and was trying to find out what was going on. I could see multiple Jordanian gate guards with guns raised but I did not know the situation. I saw two white land cruisers parked in the space between the outer and inner gates with the doors open, but I could not identify if they were the coalition vehicles or vehicles. At this time we noticed someone running towards us from the gate and as he got closer we identified him as approached our vehicle and told us that a gate guard had opened fire on them and that he shot a gate guard. At this time I put a NET call on the radio that we may have U.S. personnel down at the front gate. I also called by phone to inform him of what was going on and to bring to get the JAF personnel at the front gate to stand down. We then tried to approach the gam in the vehicle but the personnel in front of us would not let us through. We continued to try to push to the front gate and eventually drove past the backing us. I drove slowly with hands out of the vehicle to within 50m of the gate and stopped because the JAF gate guards were beginning to raise weapons and motion to us to move back. I exited my vehicle and began to walk towards the gate with both my arms raised to try and diffuse the situation and get a status of the U.S. pax that were possibly injured. I could see it was our U.S. vehicles but could not see any U.S. personnel. Approximately 15 JAF soldiers at the entrance to the gate began to spread out and take up defensive cover positions with guns pointed towards us. At this point we took fire in our direction and I took cover behind a small dirt bern and climbed in the passenger side of the vehicle and go into the driver seat. He backed up the truck with valking with the vehicle using it as cover. Once they backed it up about 20m and I entered the vehicle and drove us back to our original stopping point behind on the Road. I there realized that the JAF soldiers recognized as the one who had just shot one of their own so I proceeded cautiously with getting him in and through the gate. and were showing up at the inside of the gate and I was in communication with them trying to get them to gain control of the JAF sortiers at the gate. From the outside it looked like they began to evacuate the wounded and they moved the U.S. vehicles opening up the gate. (b)(7)(E) 10. EXHIBIT 11. INITIALS OF PERSON MAKING STATEMENT PAGE 1 OF PAGES (b)(7)(E)ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF TAKEN AT THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

	TAKEN AT 1820	DATED	20161104
STATEMENT OF (b)(3), 10 U.S.C. 130b, (b)(6)	_ TAKEN AT 1830	(b)(7	
9. STATEMENT (Continued) (b)(7(E) Once and ensured the JAF gate guards would not fire with through the gate. I drove through quickly and returner radio call that we had one U.S. KIA. I instructed I then moved in to our camp clinic and saw the and they had about 5 personnel working on him. of the ODA pax. After discussion with the the other who were returning JAF clinic and found and then moved him to our clinic. Once we had three 18Ds, a former 18D and some coalition medics. We plane was in the air. As the plane was approaching we path At approximately 1340 they were loaded in the plane. We with the injured personnel on the plane.	to not leave the camp becomorking on the working on the working on the were alized we we from the front gate and they stayed with and in the began to prep vehicles to make the sent the PA, 2 x 18Ds	with the outside hase. About this time ause the Jordanians kn He had must that time so I attempter still missing has been being flagged do and move clinic all hands were	lement that we were put out a new what he looked like. cultiple bullet wounds to sted to get accountability I radioed own and stopped at the red him to an ambulance re on deck with the PA,
	(b)(3) 10 U.S.C. 130 b, (b)(6)		
(1)(2) 401100 4001 (1)(2)	AFFIDAVIT		
I, (b)(3), 10 U.S.C. 130b, (b)(6) WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 2 . I BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CONTAINING THE STATEMENT. I HAVE MADE THIS STATE THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNL	CORRECTIONS AND HAVE INI MENT FREELY WITHOUT HOP AWFUL INFLUENCE OF LINEAR	NTENTS OF THE ENTIF TIALED THE BOTTOM (E OF BENEFIT OR REV	RE STATEMENT MADE DF EACH PAGE VARD, WITHOUT D)(6)
WITNESSES:	Subscribed and swo	orn to before me, a perso	on authorized by law to
	777 4	day of	
(b)(3), 10 U.S.C. 130b, (b)(6)	at		
ORGANIZATION OR ADDRESS	(Signa	ature of Person Administe	ering Oath)
(b)(3), 10 U.S.C. 130b, (b)(6)	(Туред	Name of Person Adminis	stering Oath)
ORGANIZATION OR ADDRESS	()	Authority To Administer C	Daths)
INITIALS OF PERSON MAKING STATEMENT (b))(3), 10 U.S.C. 130b, (b)(6)	PAGE _2	2_ OF _2_ PAGES

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(b)(7)(E)

(b)(3), 10 U.S.C. 130b, (b)(6)

10. EXHIBIT

(b)(3), 10 U.S.C. 130b, (b)(6)

PAGE 1 OF _____ PAGES

through the gate showing our hands. I believe most of the gate guards had left. I only saw a few. We drove to base. I saw

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF_____ TAKEN AT _____ DATED ____

dead on the ground as we drove by inside of the gate.

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF (b)(3), 10 U.S.C. 130b, (b)(6)	TAKEN AT 1400	DATED	20161104
9. STATEMENT (Continued)			
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(b)(3), 10 U.S.C. 130b, (b)(6)		IVE HAD READ TO ME T	
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		nature of Person Making	Statement)
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INITIALS OF PERSON MAKING STATEMENT (b)(3), 10 U.S.C. 130b, (b)(6)		PAGE	2 OF 2 PAGES
DA FORM 2823, NOV 2006			APD LC v1.01ES

SWORN STATEMENT For use of this form, see AR 190-45; the proponent agency is PMG. PRIVACY ACT STATEMENT AUTHORITY: Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN). PRINCIPAL PURPOSE: To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents. ROUTINE USES: Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions. DISCLOSURE: Disclosure of your SSN and other information is voluntary. 2. DATE (YYYYMMDD) 1. LOCATION 3. TIME 4. FILE NUMBER Prince Faisal Airbase, Jafr, Jordan 20161104 1900 5. LAST NAME, FIRST NAME, MIDDLE NAME 6. SSN 7. GRADE/STATUS (b)(3), 10 U.S.C. 130b, (b)(6) (b)(3), 10 U.S.C. 130b, (b)(6) 8. ORGANIZATION OR ADDRESS SFOD-A (b)(3), 10 U.S.C. 130b, (b)(6) 9. (b)(3), 10 U.S.C. 130b, (b)(6) , WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH: On 04NOV2016, I was returning from conducting range activities at approximately 1120. I passed through the front gate of the base without issue. I was traveling in a convoy of 5 or 6 cars with a mix of Military and Non-Military personal. I arrived at the ASP and downloaded all weapons and equipment from the range. After I had finished, I sat down to wait for the rest of the personal to return from the range. At that time I was co-located with and . The ASP and yelled that there were Americans down at the front gate. I was the first one to get to my vehicle, an ambulance, and drove rapidly towards the front gate. I decided to check and see if the personal had been taken to the Clinic, as it would only add a few seconds to my travel time either way and I had no radio with me. Seeing that there were no vehicles at the clinic I took a back route to the gate, which intersects with the main road near the front gate. I could see immediately upon turning onto the main road that there were several shot up vehicles and at least one injured person lying prone approx. 5-10 meters inside the sliding interior gate. I had my emergency lights on and was waved forward by several Jordanians near the front gate. Not knowing that fire was taken from the JAF guards, I pulled my ambulance between the injured patient, and the gate area for cover. I believe that I was the first American to arrive who was not involved in the immediate incident. Upon exiting my vehicle I could see that the patient was American and I triaged him as expectant, due to obviously fatal trauma. It appeared that he had been pulled from the truck post mortem due to his positioning and injury. I strapped on my aid bag, pulled out my pistol, and moved around the rear of my ambulance running towards the guard shack. I began asking the Jordanians (I do not know if they were or JAF personal) where the fire was coming from and if there were more injured outside the gate. I was told that the fire was coming from outside the camp and there were two more injured men. I moved toward the exterior lift gate using the line of shot up trucks as cover. I could see there was a "barrel truck" and an American vehicle on the road to the West outside the gate. I saw a patient motionless on the ground beside a lersey barrier, but I couldn't identify him from my position. I heard gunshots, which I thought to be from an assault rifle, from the East, towards my general vicinity. A member, who I recognized from training, ran out to me and, in Arabic, said that would get the patient because only Ameridans were being targeted. I returned inside the interior sliding gate and was able to Together we returned to the guard shack and identified the patient was link up witl we attempted to exit the Interior gate to retrieve him, but we were fired on again from . Upon learning it was the East and returned to cover. We were told, by to stay inside the gate and that was going to retrieve in the back of the Jordanian Ambulance after he was brought inside the interior gate by accompanied me during the movement to the Aid Station. We began to assess medical condition during the 90 sec ride to the Aid Station. He was We identified several gunsnot wounds to his Upon arrival to the Ald Station, I continued to provide medical care for When \$6 Matt Lewellen arrived at the Aid Station I assisted in giving him an IV. I immediately returned and provided constant medical attention to until the AIREVAC arrived. We transported the patients by (b)(7)(E) pickup to the Air Strip EXHIBIT 11. INITIALS OF PERSON MAKING STATEMENT PAGE 1 OF PAGES ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF TAKEN AT DATED THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER

MUST BE INDICATED.

STATEMENT OF (b)(3), 10 U.S.C. 130b, (l	b)(6) TAKEN A	т 1900	DATED	20161104
9. STATEMENT (Continued)	***************************************	(b)(7)(E)		
During this movement we were accompan plane and continued to provide medical ca an awaiting helicopter, they were then take linked up with	re for and and en to KHMC in Amman. I ER. Upon arriving to KHM	traveled by ground to AC I was informed that	e to Marka. We unloo the hospital with at had	passed. Shortly after
assist with proper medical documentation to Marka Airbase in separate Jordanian Ar		ccompanied our respe		ground transport back arrived to
transport him.				
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l. (b)(3), 10 U.S.C. 130b, (b)(6)		HAVE READ OR HAVE I		,
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WITNESSES:		Subscribed and sworn	re or Person Making Si	V.
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	For use of this for	m, see AR 1	190-45; the propo	nent ag	ency is PMG.	
AUTHORITY:	Title 10, USC Section 301; Title		ACT STATEMEN tion 2951; E.O. 9		ial Security Numbe	r (SSN).
PRINCIPAL PURPOSE:		activity involv	ing the U.S. Arm	y, and to		
ROUTINE USES:	Information provided may be fur	ther disclose	ed to federal, state	e, local,		
	agencies, prosecutors, courts, cl the Office of Personnel Manager non-judicial punishment, other a placement, and other personnel	ment. Inforn dministrative	nation provided n	nay be u	sed for determinati	ons regarding judicial or
DISCLOSURE:	Disclosure of your SSN and other	er informatio	n is voluntary.			
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8 ORGANIZATION OR A (b)(3), 10 U.S.C. 130b, (b)						
9. (b)(3), 10 U.S.C	. 130b, (b)(6)		, WANT TO MAK	ETHE	FOLLOWING STAT	EMENT UNDER OATH:
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Air Base. At that mom						from the range outside of Al Jaf e of problem at the front gate of
	d Americans may be hurt. I to		to miorin me t	mat me	to go	and inform headquarters in
	nt involving Americans had ta		and I will under	te him		
Amman that an incide						injured American. I told
to get his stuff to	ogether and meet me at the fro			and we	potentially had	injured American. I told
At 1201 I received a pl		97.00	informed me th	at he a	nd	were outside of the base.
	d approximately 200m from the					stated that there
	ing going on at the front gate					stated that there
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I got our						what was going on. As we
	ate of the base I noticed multi		d personn	etthere	e, along with seve	eral vehicles.
called me on the radio	around this time and stated he	nad been	snot at by JAF	as they	tried to enter the	e base.
						er pickup trucks we used at the
	ing. The pickup truck had a v					
						e inside. At this time I linked up
with		who said th		on the	scene a few min	utes before. I identified the
body lying on the grou	nd as		was KIA.			P)(p)
(b)(6)		V120				(b)(7)(E)
I informed						linating with JAF to allow then
to come in. The	informed me that two bodi				And the second s	
recovered		placed				nsport to our Camp aid station.
stayed wi					arrived and I info	
was KIA and	was taking	to the Car	np aid station.		immediately	departed and went back to the
Camp aid station					_	(b)(7)(E)
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	inate with JAF and they allow					마리트 프로마스 마스 마스 마스 아이트 마스
	vas determined that no Americ			ate I to	d the w	th me to leave guards behind
	rity on our three vehicles left					(b)(7)(E)
I drove back to camp v						asked one of the medics
	American inside. After the m					and I went in.
Upon entering the roor					(A) (B)	etchers next to each other.
10. EXHIBIT		11. INITIAL	S OF DEDSON	MAKINO	CTATEMENT	North State Control (1974) 1974 1975 197
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STATEMENT OF (b)(3), 10 U.S.C. 130b, (b)(6) TAKEN A	T 2230	DATED 20161104	
9. STATEMENT (Continued)	11		
I went to and told him he was going to be fine and we stay with until we could get him to our Camp aid state.		said he was going	g to
	was another wounded Ame	rican, and we we	ere
going to have him transported to the Camp aid station.		aid station approximately 10 m	
later. two 18Ds, one former 18D, one contractor 18D, an			
in the Camp aid station until they were transported via Cas	sa aircraft to Marka Airfield		
aircraft arrived later that afternoon to pick up	body.		
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THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL IN	FLUETOS AS LINE IN INC.	P	- 1
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	(5)(5), 10 0.0.0. 100	g Statement)	_
WITNESSES:	Subscribed and sworn to before	ore me, a person authorized by law t	.
9,000		day of,,	9535
	at	,,	
(b)(3), 10 U.S.C. 130b, (b)(6)	*		
ORGANIZATION OR ADDRESS.	(Signature of Po	erson Administering Oath)	
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(b)(3), 10 U.S.C. 130b, (b)(6)			
	(Typed Name of	Person Administering Oath)	
(DECANIZATION OF ATTIBESS			
ORGANIZATION OR ADDRESS	(Authority	To Administer Oaths)	
INITIALS OF PERSON MAKING STATEMENT		SCHWARRES TO MARKELLA ARE CALCADOR	
(b)(3), 10 U.S.C. 130b, (b)(6)		PAGE 2 OF 2 PAG	ES
DA FORM 2022 NOV 2006			-

Exhibit 13.

King Faisal Air Base Access Control Point Approach photograph

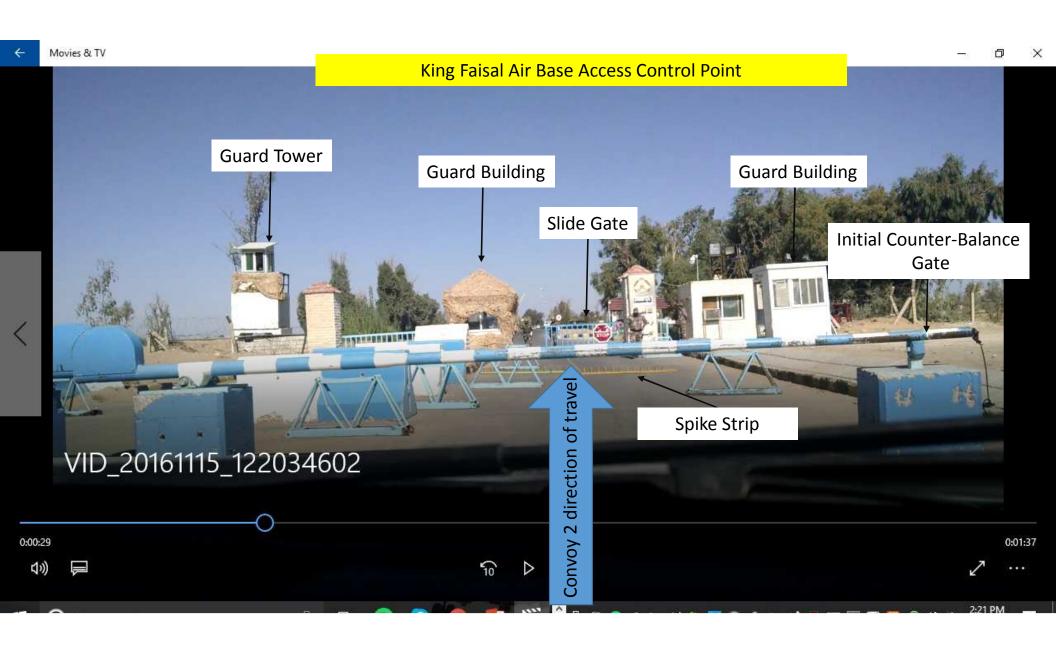


Exhibit 14.

King Faisal Air Base Access Control Point Guard Shack photograph

